FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1517992-000

Total Deleted Page(s) = 1
Page 22 ~ Duplicate;

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PFS:ew [redacted] [redacted] Re: [redacted] b3

United States District Court

Eastern DISTRICT OF Pennsylvania

TO: [redacted]

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:

☐ PERSON ☒ DOCUMENTS OR OBJECT(S)

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	ROOM
United States District Court 601 Market Street Philadelphia. PA 19106	[redacted]

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

SEE ATTACHMENT

☐ *Please see additional information on reverse*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

CLERK Michael E. Kunz MICHAEL E. KUNZ

(BY) DEPUTY CLERK

DATE [redacted] b3 b6 b7C

This subpoena is issued upon application of the United States of America

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U S ATTORNEY

[redacted] Asst. U.S. Attorne
3310 U.S. Courthouse, 601 Market Str
Philadelphia, PA 19106
PHONE: [redacted]

*If not applicable, enter "none."

To be used in lieu of AO110

FORM OBD 22
JAN 86

Memorandum

To : SAC (46A-6985 Sub G) (SQ #6) (P) Date 3/14/90

From : SA [redacted] b6 b7C

Subject : WILLIAM H. GRAY, III
UNITED STATES REPRESENTATIVE,
2ND DISTRICT OF PENNSYLVANIA
U.S. HOUSE OF REPRESENTATIVE;
FRAUD AGAINST THE GOVERNMENT;
OO:PHILADELPHIA

On 3/7/90, Federal Grand Jury (FGJ) subpoenas which were issued by the Clerk of Courts, United States District Court (USDC), Eastern District of Pennsylvania (EDPA), were mailed with the prior consent of the individual addresses to the following organizations:

[redacted] b3

In addition to the above, a FGJ subpoena was issued for [redacted] This subpoena was forwarded by airtel on 3/7/90 to San Francisco Division for personal service. b3 b6 b7C

On 3/14/90, AUSA [redacted] furnished another [redacted] Subpoenas which were issued By The Clerk of Courts on 3/13/90 with a return/appearance date [redacted] 3/14/90. Copies of These [redacted] Subpoenas are Attached to this memorandum.

2- 46A-6985 Sub G

[redacted]

-1*-

SEARCHED [redacted] INDEX
SERIALIZED [redacted] FILED
46A-6985 Sub G 37
FBI—PHILADELPHIA

[redacted]

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1517992-000

Total Deleted Page(s) = 75
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Page 6 ~ b6; b7C;
Page 7 ~ b6; b7C;
Page 9 ~ b6; b7C;
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CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE [1]		
RECEIVED BY SERVER	DATE	PLACE
SERVED	DATE	PLACE
SERVED ON (PRINT NAME)		
SERVED BY (PRINT NAME)		TITLE

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER [2]

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____

_____ _____

Date Signature of Server

_____

Address of Server

ADDITIONAL INFORMATION

[1] As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

1A Envelope

Case ID: 196D-WF-222421

WF	1	ORIGINAL NOTES FROM INTERVIEW [redacted]
WF	2	PHOTOGRAPHS [redacted] AT TIME OF ARREST
WF	3	ARREST LOG COPY OF RIGHTS FORM AND WAIVER
WF	4	GRAND JURY RECORDS [redacted] b3
WF	5	NO RECORDS [redacted] FROM [redacted] b6 b7C
WF	6	[redacted]
WF	7	CERTIFIED COPIES OF [redacted]

FD-340 (7-19-00)

(1)

Universal Case File Number 196D-WF-222421-1A

Field Office Acquiring Evidence WFO

Serial # of Originating Document _____

Date Received 2/7/02

From _____
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By SA [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☐ No

Title: [redacted] b6 b7C

American Petroleum Institute e-victim WF; ML

Reference: _____
(Communication Enclosing Material)

Description: ☑ Original notes re interview of

[redacted] b6 b7C

FD-340 (7-19-00)

Universal Case File Number 196D-WF-222421-1A (2)

Field Office Acquiring Evidence WFO

Serial # of Originating Document _____

Date Received 2-7-02

From [redacted] b6 b7C
(Name of Contributor)

(Address of Contributor)

(City and State)

By _____

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Photographs [redacted] at time of arrest b6 b7C

FD-340 (7-19-00)

(13)

Universal Case File Number 196D-HF-222421-1A

Field Office Acquiring Evidence HFO

Serial # of Originating Document

Date Received 2-7-02

From [redacted]
(Name of Contributor)

(Address of Contributor)

(City and State)

By

b6
b7C

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☐ Yes ☒ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference:
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Arrest Log/ Advice of Rights Form & Waiver

FD-395 (Rev. 2-28-97)

ADVICE OF RIGHTS

Place FBI Las Vegas
Date 2-7-02
Time 10:05 am

YOUR RIGHTS

Before we ask you any questions, you must understand your rights.

You have the right to remain silent.

Anything you say can be used against you in court.

You have the right to talk to a lawyer for advice before we ask you any questions.

You have the right to have a lawyer with you during questioning.

If you cannot afford a lawyer, one will be appointed for you before any questioning if you wish.

If you decide to answer questions now without a lawyer present, you have the right to stop answering at any time.

WAIVER OF RIGHTS

I have read this statement of my rights and I understand what my rights are. At this time, I am willing to answer questions without a lawyer present.

Sign [redacted]

Witness [redacted] SA [redacted] SA, FBI, WFO

Witness: [redacted] FBI WFO, SA. 2/7/02

Time: 10:10 am

b6
b7C

FD-340 (7-19-00)

(4)

Universal Case File Number 196D-WF-222421-1A

Field Office Acquiring Evidence _____

Serial # of Originating Document _____

Date Received 2/15/01

From TransUnion, Experian, Equifax
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☑ No

Receipt Given ☐ ☑ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e) Federal Rules of Criminal Procedure

☒ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

Grand Jury records re [redacted] b3 b6 b7C

C

CO 293 (Rev. 8/91) Subpoena to Testify before Grand Jury

RECEIVED JAN 24 2002 OK

United States District Court

FOR THE DISTRICT OF COLUMBIA

TO:

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3
b6
b7C

SUBPOENA FOR:
[ ] PERSON [X] DOCUMENT(S) OR OBJECT(S)

IN RE: POSSIBLE VIOLATION OF:
18 U.S.C. § 1343

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM
UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA **United States Courthouse** **Third & Constitution, N.W.** **Washington, D.C. 20001**	3rd Floor DATE AND TIME Thursday

b3

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

Duces Tecum (Please bring with you/please furnish): Please provide

b3
b6
b7C
b7E

IN LIEU OF APPEARANCE, RECORDS MAY BE SENT TO SA FEDERAL BUREAU OF INVESTIGATION, 7799 LEESBURG PIKE, SUITE 200, SOUTH TOWER, FALLS CHUCH, VA 22043

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE JUDGE OR CLERK OF COURT
NANCY MAYER-WHITTINGTON

(By) Deputy Clerk

DATE January 14, 2002

USAO # 01R1465

tnt/#

This subpoena is issued upon application of the United States of America.

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

Assistant U.S. Attorney

United States Attorney's Office
555 Fourth Street, N.W., Room 5229
Washington, D.C. 20530

b6
b7C
b7E

* If not applicable, enter "none".

*U.S.GPO:1993-0-350-792/80398

CO 293 (Rev. 8/91) Subpoena to Testify Before Grand Jury

RETURN OF SERVICE (1)		
RECEIVED BY SERVER	DATE	PLACE
SERVED	DATE	PLACE
SERVED ON (PRINT NAME)		
SERVED BY (PRINT NAME)		TITLE

STATEMENT OF SERVICE FEES		
TRAVEL	SERVICES	TOTAL

DECLARATION OF SERVER (2)

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Return of Service and Statement of Service Fees is true and correct.

Executed on _____

_____ _____
Date Signature of Server

_____
Address of Server

ADDITIONAL INFORMATION

(1) As to who may serve a subpoena and the manner of its service see Rule 17(d), Federal Rules of Criminal Procedure, or Rule 45(c), Federal Rules of Civil Procedure.

CO 293 (Rev. 8/91) Subpoena to Testify before Grand Jury

1-29-02

(1)

United States District Court

FOR THE DISTRICT OF COLUMBIA

JAN 28 2002

RECEIVED

TO:

b3
b6
b7C

SUBPOENA TO TESTIFY BEFORE GRAND JURY

SUBPOENA FOR:
[ ] PERSON [X] DOCUMENT(S) OR OBJECT(S)

IN RE: POSSIBLE VIOLATION OF:
18 U.S.C. § 1343

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

PLACE	COURTROOM	
UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA United States Courthouse Third & Constitution, N.W. Washington, D.C. 20001	3rd Floor	b3 b6 b7C
	DATE AND TIME: Thursday	b7E

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

Duces Tecum (Please bring with you/please furnish): Please provide

IN LIEU OF APPEARANCE, RECORDS MAY BE SENT TO SA FEDERAL BUREAU OF INVESTIGATION, 7799 LEESBURG PIKE, SUITE 200, SOUTH TOWER, FALLS CHUCH, VA 22043

☐ *Please see additional information on reverse.*

This subpoena shall remain in effect until you are granted leave to depart by the court or by an officer acting on behalf of the court.

U.S. MAGISTRATE JUDGE OR CLERK OF COURT
NANCY MAYER-WHITTINGTON

(By) Deputy Clerk

DATE: January 14, 2002

USAO # 01R1465

tnt/#

This subpoena is issued upon application of the United States of America.

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

Assistant U.S. Attorney

United States Attorney's Office
555 Fourth Street, N.W., Room 5229
Washington, D.C. 20530

b6 b7C b7E

* If not applicable, enter "none".

*U.S.GPO:1993-0-350-792/80398

FD-340 (7-19-00)

Universal Case File Number 194D-WF-222421-S

Field Office Acquiring Evidence WFO

Serial # of Originating Document _____

Date Received 2/15/01

From [redacted] b3
(Name of Contributor)

(Address of Contributor)

(City and State)

By [redacted] b6 b7C

To Be Returned ☐ Yes ☐ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☒ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of

No records [redacted] from [redacted] b3 b6 b7C

[redacted]

FD-340 (7-19-00)

Universal Case File Number 196D-WF-222421-4

Field Office Acquiring Evidence WFO

Serial # of Originating Document _____

Date Received 2-15-02

From [redacted] b3 b6 b7C
(Name of Contributor)

_____
(Address of Contributor)

_____
(City and State)

By [redacted]

To Be Returned ☐ Yes ☒ No

Receipt Given ☐ ☒ No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)
Federal Rules of Criminal Procedure

☒ Yes ☐ No

Federal Taxpayer Information (FTI)

☐ Yes ☒ No

Title:

Reference: _____
(Communication Enclosing Material)

Description: ☐ Original notes re interview of [redacted] b3 b6 b7C

CO 293 (Rev. 8/91) Subpoena to Testify before Grand Jury

United States District Court

FOR THE DISTRICT OF COLUMBIA

TO:

2189

SUBPOENA TO TESTIFY BEFORE GRAND JURY

b3
b6
b7C

SUBPOENA FOR:
[ ] PERSON [X] DOCUMENT(S) OR OBJECT(S)

IN RE: POSSIBLE VIOLATION OF:
18 U.S.C. § 1343

YOU ARE HEREBY COMMANDED to appear and testify before the Grand Jury of the United States District Court at the place, date, and time specified below.

b3

PLACE	COURTROOM
UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA United States Courthouse Third & Constitution, N.W. Washington, D.C. 20001	3rd Floor
	DATE AND TIME: Thursday

YOU ARE ALSO COMMANDED to bring with you the following document(s) or object(s):*

Duces Tecum (Please bring with you/please furnish):

b3
b6
b7C
b7E

IN LIEU OF APPEARANCE, RECORDS MAY BE SENT TO SA FEDERAL BUREAU OF INVESTIGATION, 7799 LEESBURG PIKE, SUITE 200, SOUTH TOWER, FALLS CHUCH, VA 22043

☐ *Please see additional information on reverse.*

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U.S. MAGISTRATE JUDGE OR CLERK OF COURT
NANCY MAYER-WHITTINGTON

(By) Deputy Clerk

DATE: January 14, 2002

USAO # 01R1465

tnt/#

This subpoena is issued upon application of the United States of America.

NAME, ADDRESS AND PHONE NUMBER OF ASSISTANT U.S. ATTORNEY

Assistant U.S. Attorney

United States Attorney's Office
555 Fourth Street, N.W., Room 5229
Washington, D.C. 20530

b6
b7C
b7E

* If not applicable, enter "none".

*U.S.GPO:1993-0-350-792/80398

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Page 84 ~ b3; b6; b7C;
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Page 88 ~ b3; b6; b7C;
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FEDERAL BUREAU OF INVESTIGATION
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DELETED PAGE INFORMATION SHEET
FOI/PA# 1517992-000

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Page 42 ~ Duplicate;
Page 43 ~ Duplicate;
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Page 46 ~ Duplicate;
Page 55 ~ Duplicate;
Page 56 ~ Duplicate;

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X Deleted Page(s) X
X No Duplication Fee X
X For this Page X
XXXXXXXXXXXXXXXXXXXXXXX

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 07/13/2001

To: Washington Field

From: Washington Field

Contact: SA ext.3165 b6 b7C b7E

Approved By:

Drafted By:

Case ID #: 196D-WF-

Title: AMERICAN PETROLEUM INSTITUTE - VICTIM; WF; ML

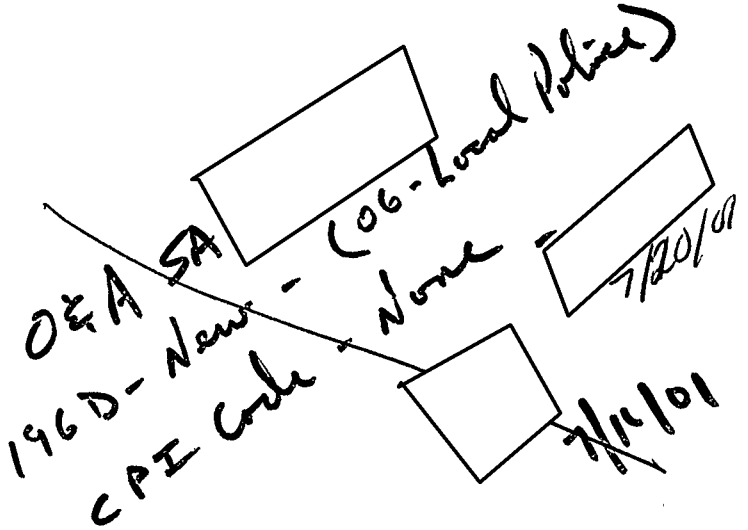
Synopsis: Case referral.

Details: Detective Special Investigations Unit, Metropolitan Police Department, requested the assistance of the FBI in investigating the captioned matter. The subject perpetrated a fraud against her former employer, the American Petroleum Institute (API), from 1995 through November 2000. The estimated loss is in excess of engaged in a number of fraudulent activities including b6 b7C

The United States Attorney's Office for the District of Columbia has accepted this matter for prosecution.

Based on the foregoing, it is requested this matter be opened and assigned.

♦♦ b6 b7C



AKA

5:\Cases\196D-New 1402.wpd

196A-WF-222421-

-1

196D-WF-222421

[redacted]

b6
b7C

The following investigation was conducted by SA [redacted] on July 25, 2001:

Supervisor [redacted], United States Postal Inspector, Washington, D.C., advised that the forwarding address submitted for [redacted]

[redacted]

b6
b7C

[redacted]

b6
b7C

[redacted]

AKA:

196D-WF-222421

S:\Cases\196D-WF-222421\200[redacted]20605.wpd

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 07/30/2001

To: Washington Field

From: Washington Field

Contact: SA ext.3165 b6 b7C b7E

Approved By:

Drafted By:

Case ID #: 196D-WF-222421

Title:
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Request for travel to Las Vegas, Nevada to interview subject.

Details: The captioned subject, b6 b7C fraudulent means from her former employer, the American Petroleum Institute (API) in Washington, D.C. employed various schemes to fraudulently obtain the funds belonging to API, including After being terminated from API in

Ten volumes of records have been compiled to document the various fraud schemes perpetrated These records have been reviewed and analyzed by SA Representatives from API have further explained the methods and means by which committed the fraud against API.

Due to the volume of records and the complexity of the factual scenario, it would be difficult to properly prepare and brief an agent in the Las Vegas division on the facts of the case. In light of these circumstances, AUSA , District of Columbia, has requested that SA interview and attempt to obtain a signed statement. In addiiton, AUSA has requested that SA hand deliver a Grand Jury target letter AUSA considers this interview critical to the investigation and to plea negotiations. b3 b6 b7C

Based on the foregoing, it is requested that SA be granted the authority to travel to the Las Vegas division to conduct an interview

♦♦

Request + Obtain Concurrence of LV Division Prior to travel

A/SAC 8/14/01 b6 b7C

AHA

S:\Cases\196D-WF-222421\2001 21101.wpd 196A-WF-222421-3

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

7799 Leesburg Pike
Suite 200 South Tower
Falls Church, VA 22043

July 18, 2001

Supervisor [redacted]
United States Postal Inspector
Washington, D.C.

b6
b7C

SENT VIA FACSIMILE TO 202.636.2287

Dear [redacted]

Please furnish this agency with the following:

1. A copy of the Post Office Box Application for [redacted] in the name of [redacted]

2. The forwarding address for [redacted], on or around January 2001 for the resident(s) [redacted]; and

3. The forwarding address for [redacted], on or around October 2000 for the resident(s) [redacted]

b6
b7C

I certify the address information for these individuals is required for the performance of this agency's official duties. Thank you for your cooperation in this matter. If you have any questions or concerns, please contact Special Agent [redacted] at 703.762.3165.

b6
b7C

Sincerely,

James C. Carter
Assistant Director in Charge

[redacted]

By: [redacted]
Supervisory Special Agent

4

196D-WF-222421 8 7/20/01

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 07/19/2001

To: Washington Field

From: Washington Field

Contact: SA ext.3165 b6 b7C b7E

Approved By:

Drafted By:

Case ID #: 196D-WF-222421

Title:
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Meeting with representatives from the American Petroleum Institute (API).

Details: On July 17, 2001, AUSA Detective b6 b7C
Metropolitan Police Department Auditor, and SA met with
for API
(CFO) for API, and for API, to discuss the fraud perpetrated by
API.

defrauded API of b6 b7C
for API, compiled ten volumes of documentation
evidencing various fraud schemes perpetrated In general defrauded API by

It is believed

The last two years of her employment at API, b6 b7C

It is believed is currently residing in

-5

A4A
S:\Cases\196D-WF-222421\2001 20101.7/20/01.wpd 196D-WF-222421- b6 b7C

The following investigation was conducted by SA [redacted] on July 18, 2001:

A search through [redacted] revealed the following address for [redacted] date of birth [redacted] SSN [redacted] b6 b7C b7E

The following telephone number was listed for [redacted] A reverse lookup for this telephone number revealed the above address.

[redacted] identified herself as [redacted] indicated [redacted] also stated that [redacted] b6 b7C b7E

[redacted] b6 b7C

6

AIFA

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(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 07/19/2001

To: Las Vegas

From: Washington Field

Contact: SA

Approved By:

Drafted By:

Case ID #: 196D-WF-222421 (Pending)

Title:

AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Set lead to obtain recorded deed of property in Las Vegas, Nevada.

Details: The captioned subject and purchased the

It is believed the captioned subject perpetrated against her former employer, the American Petroleum Institute in Washington, D.C. It is necessary to determine the parties involved in the closing of the above mentioned residence in order to issue the requisite subpoenas. It is therefore requested that a copy of the deed and any other property records for the above address be forwarded to this office.

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b7E

b6
b7C

b6
b7C

8

AHA

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LEAD(s):

Set Lead 1:

LAS VEGAS

AT LAS VEGAS, NEVADA

Obtain a copy of the deed and property records [redacted] b6 b7C

[redacted]

♦♦

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 08/30/2001

To: Washington Field

From: Washington Field

Contact: SA ext.3165

b6
b7C
b7E

Approved By:

Drafted By:

Case ID #: 196D-WF-222421

Title:

AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Meeting with for the American Petroleum Institute (API).

b6
b7C
b7E

Details: On August 24, 2001, SA met with for API, and analyzed the at API.

An analysis of the records revealed that

b6
b7C
b7E

♦♦

b6
b7C

AHA 8/01

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196A-WF-222421-9

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 11/14/2001

To: ✓ Washington Field **Attn:** SA [redacted] b6 b7C b7E

From: Las Vegas
Contact: IRS [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421 -10 (Pending)

Title: [redacted]
AMERICAN PETROLEUM INSTITUTE - Victim;
WF; ML

Synopsis: Lead covered.

Reference: 196D-WF-222421 Serial 8

Enclosures: Certified documents related to property purchased by the [redacted] b6 b7C

Details: On November 14, 2001, certified copies of documents related to the purchase [redacted] was obtained from the Clark County Recorder's office.

57749.D1

196D-WF-222421-10

LEAD (s):

Set Lead 1: (Adm)

WASHINGTON FIELD

AT WASHINGTON, D.C.

Read and clear.

♦♦

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 12/03/2001

To: Washington Field

From: Washington Field

Contact: SA [redacted] b6 b7C b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421

Title: [redacted]
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Captioned case unaddressed since September 11, 2001.

Details: Due to the case agent's diversion to the PENTTBOMB investigation, the captioned matter has been unaddressed since September 11, 2001. SA [redacted], a member of WFO's Evidence Response Team, was assigned to the Evidence Warehouse located at [redacted] SA [redacted] duties included logging evidence, searching evidence, and sorting through personal effects brought to the Evidence Warehouse from the Pentagon site. b6 b7C b7E

[redacted]

♦♦

11

196D-WF-222421

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(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 01/11/2002

To: Las Vegas
Washington Field

Attn: SSA
SSA

b6
b7C
b7E

From: Washington Field

Contact: SA

Approved By:

Drafted By:

Case ID #: 196D-WF-222421

Title:
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Set lead to Las Vegas and request for authorization to travel.

Enclosures: Three (3) photographs

b6
b7C

Details: The captioned subject, , date of birth , through fraudulent means from her former employer, the American Petroleum Institute (API) in Washington, D.C. From 1993 through November 2000,

fraudulent activities were discovered through a routine audit performed by API which resulted in

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b7C

A draft affidavit for the arrest has been prepared and reviewed by the United States Attorney's Office, District of Columbia. The affidavit alleges activities constitute a violation of 18 U.S.C. Section 1343 (Fraud by Wire). The Assistant United States Attorney assigned to this case is It is anticipated that the affidavit will be sworn out before a United States District Court Judge for the District of Columbia on or around January 28, 2002.

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b6
b7C

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196D-WF-222421-12

AUSA [redacted] has requested that SA [redacted] and a second WFO agent travel to [redacted] to assist in the arrest [redacted] and attempt to obtain a signed statement. Ten volumes of records have been compiled to document the various fraud schemes perpetrated by [redacted] These records have been reviewed and analyzed by SA [redacted] AUSA [redacted] considers this interview critical to the investigation and to plea negotiations. b6 b7C

[redacted]

LEAD(s):

Set Lead 1:

LAS VEGAS

AT LAS VEGAS, NEVADA

Squad 4: Contact SA [redacted] to coordinate [redacted] b6 b7C

Set Lead 2:

LAS VEGAS

AT LAS VEGAS, NEVADA

Squad 10: Conduct logical surveillance [redacted] to determine daily routine of subject and to determine whether subject is in Las Vegas, Nevada on the anticipated arrest date.

♦♦

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/04/2002

To: Washington Field

From: Washington Field
[redacted]
Contact: SA [redacted]

b6
b7C
b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421 (Pending)

Title: [redacted]
ET AL;
FBW:MF

Synopsis: To request authority to rent a vehicle.

Details: Authority is respectfully requested to rent a vehicle while on travel. On 2/6/02, SA [redacted] and SA [redacted] anticipate travel to Las Vegas, Nevada, pursuant to an arrest warrant, issued in the District of Columbia, for captioned individual. The rental vehicle is requested to assist in transportation needs while accomplishing duties in Las Vegas.

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b7C

♦♦

ALT A: DC03501.WPD

196D-WF-222421-14

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/07/2002

Pursuant to an authorized arrest warrant issued by the Central District of Columbia, Special Agents (SAs) of the Federal Bureau of Investigation (FBI) located [redacted] on February 07, 2002, [redacted] After identifying themselves, they took [redacted] into custody without incident. [redacted]

b6
b7C

Subsequent to her arrest [redacted] was transported to the FBI Office where she read and signed an FD-395 Advice of Rights Form. [redacted] was then interviewed by SAs [redacted] called [redacted] to notify him of her arrest. [redacted] was subsequently transported to the Las Vegas City Detention Center, 3200 Stewart Avenue, Las Vegas, Nevada.

Investigation on 02/07/2002 at Las Vegas, Nevada

File # 196D-WF-222421 -15 Date dictated

by SA [redacted] SA [redacted] SA [redacted] SA [redacted]

b6
b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

John Lawrence Bailey FBI Building
Las Vegas, Nevada 89104
February 07, 2002

Las Vegas City Detention Center
3200 Stewart Avenue
Las Vegas, Nevada
Sergeant [redacted] b6 b7C

Dear Sergeant [redacted]

On February 07, 2002, at approximately 11:00 a.m., [redacted], Date of Birth [redacted] was booked into the Las Vegas City Detention Center at Stewart and Mojave. [redacted] was booked pursuant to a Federal arrest warrant and complaint issued out of the District of Columbia on February 06, 2002, charging [redacted] with Wire Fraud in violation of Title 18, USC Section 1343. [redacted] was to be placed in temporary custody until she was able to be transported to the United States Marshal Service.

Per the request of the Las Vegas City Detention Center, this letter is being submitted requesting that [redacted] be released to Special Agents of the Federal Bureau of Investigation for transport to the United States Marshal Service, at approximately 7:30 a.m. on February 8, 2002. b6 b7C b7E

If you have any questions regarding this matter please contact Special Agent [redacted]

Sincerely,

Grant D. Ashley
Special Agent in Charge

[redacted] b6 b7C

By:
[redacted]
Supervisory Special Agent

[redacted] b6 b7C

3259.02

196-WF-222421-17

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/08/2002

To: Washington Field **Attn:** [redacted]
SA [redacted]

b6
b7C
b7E

From: Las Vegas
Squad 4
Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421-18 (Pending)

Title: [redacted]
AMERICAN PETROLEUM INSTITUTE-VICTIM
WF;ML

Synopsis: Lead 1 and 2 covered.

[redacted]

b6
b7C

Reference: 196D-WF-222421 Serial 12

Enclosures: Original and two copies of arrest FD-302, copy of arrest plan, original of letter to Las Vegas City Detention Center for release [redacted] for transport, original FD-515, and copy of arrest warrant and complaint [redacted]

Details: On 02/07/2002, [redacted] Las Vegas considers lead 1 covered.

Logical surveillance was conducted to determine the daily routine [redacted] and Las Vegas considers lead 2 covered.

b6
b7C

SAs [redacted] of the Washington Field Office were present in Las Vegas, Nevada, for the arrest [redacted] SA [redacted] and SA [redacted] assisted in the transport [redacted] to the United States Marshal's Office. SA [redacted] and SA [redacted] attended the initial appearance [redacted]

b6
b7C

[redacted]

196D-WF-222421-18

To: Washington Field From: Las Vegas
Re: 196D-WF-222421, 02/08/2002

LEAD (s):

Set Lead 1: (Adm)

WASHINGTON FIELD

AT TYSONS CORNER, VIRGINIA

Read and clear.

♦♦

(v. 8-4-99)
(squad supervisor approval please initial)

Accomplishment Report
(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 2-12-02
Date Loaded 2-12-02
Data Loader's Initials

b6
b7C
b7E

Accomplishment involves: (check all that apply)
- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☑
- Corruption of Public Officials ☐
- Money Laundering ☐
- Sub Invest Asst by FO (s) ☐

Asst. FO(s) __, __, __, __ A, B, C, D

Task Force

File Number: 196D-WF-222421

Stat Agent Soc. Sec. No.

Stat Agent Name

RA | Squad

Assisting Agents Soc. Sec. No. ×
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used
1-Used, but did not help
2-Helped, minimally
3 - Helped, substantially
4 - Absolutely essential
For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Div. Exam			UCO - Group I			Ft. Mon.- NRCSC
		Aircraft Asst.			Lab. Field Sup			UCO - Group II			For. Lang Asst.
		Computer			Pen Registers			UCO - Nat. Back			Non FBI Lab Ex
		Consen Mon.			Photo Cover.			NCAVC / VI - CAP			Vict-Witn Coor
		Elsur / FISC			Polygraph			Crim/NS Intel Asst			
		Elsur / T. III			Search Warrant			Crisis Neg. - Fed.			
		Eng. Field Spt.			Show Money			Crisis Neg. - Local			
		Eng. Tape Ex			SOG Asst.			ERT Asst.			
		Legats Asst.			Swat Team			Butte - ITC			
		Evid Purchase			Tech. Ag/Equip			Sav - ITC			
		Inf/CW Info			Phone Toll Rec			Poc - WRCSC			

A. Complaint / Information / Indictment
☐ Federal ☐ Local ☐ International
Complaint Date: _____
Check if Civil Rico Complaint ☐
Information Date: _____
Indictment Date: _____

B. Locate/ Arrest
☒ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☑ C
Locate Date: _____
Arrest Date: 2-7-02
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date: _____
☐ Federal ☐ Local

D. Recovery/Restitution/PELP X
☐ Federal ☐ Local ☐ International
Recovery Date: _____
Code• ____ ✓ Amount $ _____
Code• ____ ✓ Amount $ _____
Restitution Date: _____
☐ Court Ordered
☐ Pretrial Diversion
Code • _____ Amount $ _____
PELP Date: _____
Code• ____ ✓ Amount $ _____

E. Hostage(s) Released Date: _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date: _____

F. Conviction
☐ Federal ☐ Local ☐ International
Conviction Date: _____
Subject Description Code: __ __ • (____)•
For 6F, G, H--Include Agency Code
☐ Felony or ☐ Misdemeanor
☐ Plea or ☐ Trial
State: _____ Judicial District: _____

G. U.S. Code Violation
Required for Sections A, B, F, and J
(Federal only)

Title	Section	# Counts
18	1343	1

H. Sentence Date: _____
Sentence Type: ____, ____, ____•
In Jail: Years _____ Months _____
Suspended: Years _____ Months _____
Probation: Years _____ Months _____
Fines: $ _____

I. Disruption/Dismantlement:
Disruption Date: _____
Dismantlement Date: _____
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____
Also Complete "Section G"
Other Civil Matters Date: _____
Judgment ___ ___ ___ ___•
Judicial Outcome ___ ___ ___ ___• X
Amount $ _____
Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____
Subject Description Code ____•
Type: Length:
☐ Suspension ☐ Permanent
☐ Debarment or
☐ Injunction Years ____ Months ____

L. Asset Seizure Date: _____
Asset Forfeiture Date: _____
CATS # Mandatory _____
Check one of the three:
☐ Asset Forfeiture - Administrative
☐ Asset Forfeiture - Civil Judicial
☐ Asset Forfeiture - Criminal
Do not indicate $ value in Section D

M. Acquittal / Dismissal / Pretrial Diversion
Acquittal Date: _____
Dismissal Date: _____
Pretrial Diversion Date: _____

N. Subject Information (Required for all Sections excluding Section D (Recovery/PELP), Section E (Hostage), Section I, and Section L.)

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

b6
b7C

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug Organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

X Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1m and PELP over $5m.

Serial No. of FD-515: 19

196D-WF-222421-

For Further Instructions See: MAOP [redacted] II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCG U.S. Coast Guard
USCS U.S. Customs Service
USDS U.S. Department of State
USMS U.S. Marshals Service
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERNMENT SUBJECTS (6F,6G,6H- Include Agency Code)

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

b6
b7C
b7E

Case Number: 196D-WF-222421 Stat Agent Name: [redacted] Report Date: 02/12/2002
Serial No.: Stat Agent SOC.: [redacted] Accom Date.: 02/07/2002

Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs . . . . . : N	[redacted]		[redacted]
A Fugitive. . . . . : N			
Bankruptcy Fraud. . . . . : N			
Computer Fraud/Abuse. . . . . : Y			RA Squad Task Force
Corruption of Public Officials: N			[redacted]
Money Laundering. . . . . : N			

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	VICT-WITN COOR
ELSUR/FISC	POLYGRAPH	CRIM/NS INTEL	IO WANTED FLYR
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	SARS
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	CART
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Arrest is for Federal, Local, or International (F/L/I).. : F
Arrest Subject Priority (A/B/C)..... : C
Did Subject Resist (Y/N)..... : N
Was Subject Armed (Y/N).....: N

United States Code Violation

Title	Section	Count
18	1343	1

Accomplishment Narrative

FEDERAL BUREAU OF INVESTIGATION

Date of transcription 02/11/2002

[redacted] date of birth [redacted] SSN [redacted] b6 b7C
[redacted] was arrested on February 7, 2002 at her place of employment, [redacted] based on a Complaint issued by the United States District Court for the District of Columbia alleging one count of 18 United States Code 1343 (Fraud by Wire). After being advised of the identity of the interviewing agents and the nature of the interview, [redacted] was furnished with an "Advice of Rights" form, FD-395. [redacted] read the form, stated she understood her rights, and signed the form waiving her rights to remain silent and have an attorney present. [redacted] was interviewed at the Las Vegas Field Office of the Federal Bureau of Investigation (FBI), Las Vegas, Nevada. [redacted] voluntarily provided the following information:

[redacted] was aware she had been arrested as a result of activities that occurred while she was employed at the American Petroleum Institute (API) located in Washington, D.C. [redacted] did not recall specific details, but she admitted that on numerous occasions she took money from API [redacted]

Investigation on 02/07/02 at Las Vegas, Nevada

File # 196D-WF-222421 -30

Date dictated 02/11/02

by SA [redacted] b6 b7C

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

 b6 b7C

[redacted]

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/25/2002

To: Washington Field

From: Washington Field

Contact: SA [redacted] b6 b7C b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421

Title: [redacted]
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Discovery meeting.

Details: On February 25, 2002, AUSA [redacted] and SA [redacted] provided discovery to captioned subject's attorney, Federal Public Defender [redacted] Also present for the discovery meeting were [redacted] for American Petroleum Institute (API), and [redacted] for API [redacted] through her attorney [redacted] was given a plea offer which expires at the close of business on March 6, 2002. b6 b7C

♦♦

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196D-WF-222421 - 21

b6
b7C

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

John Lawrence Bailey FBI Building
Las Vegas, Nevada 89104
February 07, 2002

Las Vegas City Detention Center
3200 Stewart Avenue
Las Vegas, Nevada
Sergeant [redacted] b6 b7C

Dear Sergeant [redacted]

On February 07, 2002, at approximately 11:00 a.m., [redacted] Date of Birth [redacted] was booked into the Las Vegas City Detention Center at Stewart and Mojave. [redacted] was booked pursuant to a Federal arrest warrant and complaint issued out of the District of Columbia on February 06, 2002, charging [redacted] with Wire Fraud in violation of Title 18, USC Section 1343. [redacted] was to be placed in temporary custody until she was able to be transported to the United States Marshal Service.

Per the request of the Las Vegas City Detention Center, this letter is being submitted requesting that [redacted] be released to Special Agents of the Federal Bureau of Investigation for transport to the United States Marshal Service, at approximately 7:30 a.m. on February 8, 2002. b6 b7C b7E

If you have any questions regarding this matter please contact Special Agent [redacted]

[redacted] b6 b7C

2/12/02

Sincerely,

Grant D. Ashley
Special Agent in Charge

[redacted]
Supervisory Special Agent

190D-WF-222421-22

[redacted]NSMISSION VERIFICATION REPORT[redacted]

TIME : 02/07/2002 18:14

DATE,TIME	02/07 18:14
FAX NO./NAME	[redacted]
DURATION	00:00:23
PAGE(S)	01
RESULT	OK
MODE	STANDARD ECM

b7E

AO442(Rev. 12/85) Warrant for Arrest

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA
V.

[redacted]
DOB [redacted]
SSN [redacted]

WARRANT FOR ARREST

b6
b7C

CASE NUMBER:

To: The United States Marshal
and any Authorized United States Officer

YOU ARE HEREBY COMMANDED to arrest [redacted]
Name

and bring him or her forthwith to the nearest magistrate to answer a(n)

☐ Indictment ☐ Information ☒ Complaint ☐ Order of Court ☐ Violation Notice ☐ Probation Violation Petition

charging him or her with (brief description of offense)

[redacted] committed an offense in violation of 18 U.S.C. § 1343 (Fraud by Wire).

b6
b7C

7th Flr.

[redacted]

2/12/02

in violation of Title 18 United States Code, Section(s) § 1343 .

Name of Issuing Officer

Title of Issuing Officer

Signature of Issuing Officer

FEB 06 2002
Date and Location

Bail fixed at $ _____ by _____
Name of Judicial Officer

RETURN		
This warrant was received and executed with the arrest of the above-named defendant at _____		
DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER
DATE OF ARREST		

28

196D-WF-222421

AO 91 (Rev. 5/85) Criminal Complaint

United States District Court

For The District of Columbia

UNITED STATES OF AMERICA

V.

[redacted]

DOB: DOB: [redacted]

(Name and Address of Defendant)

CRIMINAL COMPLAINT

b6
b7C

CASE NUMBER:

[illegible]

I, the undersigned complainant being duly sworn state the following is true and correct to the best of my knowledge and belief. On or about December 18, 1998 and February 21, 1999 in Washington, D.C. county, in the District of Columbia defendant(s) did, (Track Statutory Language of Offense)

See Attached Affidavit

in violation of Title 18 United States Code, Section(s) 1343.

I further state that I am [redacted] Special Agent with the Federal Bureau of Investigation, and that this complaint is based on the following facts:

b6
b7C

See Attached Affidavit

Continued on the attached sheet and made a part hereof: ☒ Yes ☐ No

[redacted]

Signature of Complainant

AUSA, [redacted]

[redacted] Special Agent
Federal Bureau of Investigation

Sworn to before me and subscribed in my presence,

FEB 0 6 2002

Date

at Washington, D.C.
City and State

ALAN KAY
U.S. MAGISTRATE JUDGE

Name & Title of Judicial Officer

Signature of Judicial Officer

AFFIDAVIT IN SUPPORT OF ARREST WARRANT

I, [redacted] after being duly sworn, do depose and state: b6 b7C

1. I am a Special Agent of the Federal Bureau of Investigation (FBI) assigned to the Washington Field Office, Washington, D.C. The FBI has employed me as a Special Agent for over [redacted] Since that time, I have received training in general law enforcement and in specialized areas including money laundering, asset forfeiture, and white collar crime. I am currently assigned to a squad that investigates economic crimes and computer crimes within the White Collar Crime Division of the FBI. I have participated in numerous criminal investigations involving violations of United States Code, Title 18, including Wire Fraud, Mail Fraud, Interstate Transportation of Stolen Securities, Bankruptcy Fraud, Bank Fraud, and Copyright Infringement.

2. This affidavit is being submitted in support of an application for an arrest warrant naming [redacted] date of birth [redacted] and Social Security Number [redacted] b6 b7C

3. The facts and information contained in this affidavit are based on my personal knowledge and observations, my review of records and documents obtained during this investigation, and information received from other individuals, including other law enforcement officers. Based upon the investigation described below, I submit that the facts set forth in this affidavit establish:

a. there is probable cause to believe that in the District of Columbia and elsewhere, and on [redacted] committed an offense in violation of 18 U.S.C. Section 1343 (Fraud by Wire). b6 b7C

FACTS

4. [redacted] was born on [redacted] and the Social Security Number assigned to her is [redacted] On the date of the offense, [redacted] resided at [redacted] is currently residing at [redacted]

5. [redacted] was employed at the American Petroleum Institute (API), 1220 L Street N.W., Washington, D.C., from approximately June 1980 through November 2000. API is the primary trade association for the United States oil and natural gas industry. [redacted]

b6
b7C

6. [redacted]

b6
b7C

7. Through the course of performing a routine audit in the year 2000, the Chief Financial Officer (CFO) for API discovered that numerous expense reimbursement claims submitted by [redacted] The CFO for API verified that [redacted]

b6
b7C

8. To date, it has been determined that [redacted] b6 b7C

[redacted]

[redacted] b6 b7C

13. American Express is headquartered in New York. All transmissions occurring on an American Express credit card take place outside of the District of Columbia. When charges are made on an American Express credit card, the payments made to the merchant are processed from Salt Lake City, Utah. A credit occurring on a personal American Express credit card is processed in either Greensboro, North Carolina or Fort Lauderdale, Florida.

14. Based on the foregoing, your affiant submits there is probable cause to believe that in the District of Columbia and elsewhere, and on [redacted] b6 b7C [redacted] committed an offense in violation of 18 U.S.C. Section 1343 (Fraud by Wire), in that she devised a scheme or artifice to defraud API [redacted]

[redacted]

[redacted]

[redacted] Special Agent
Federal Bureau of Investigation

FEB 06 2002

[redacted]

US [illegible]

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 02/15/2002

To: Washington Field

From: Washington Field

Contact: SA [redacted]

b6
b7C
b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421-24

Title: [redacted]
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

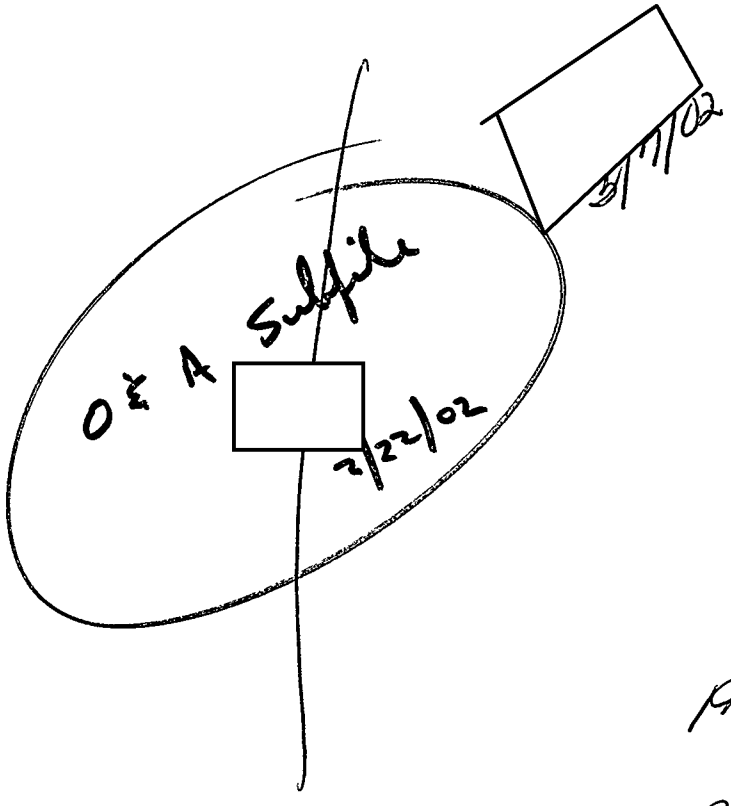
Synopsis: Request to open subfile for Grand Jury Material.

Details: It is requested that a subfile named "SUB GJ" be opened for case number 196D-WF-222421 for the purpose of filing all Grand Jury Material received in the captioned matter.

♦♦

(X)

b6
b7C



24
196D-WF-222421-1
196D-WF-222421-GJ

44A
S:\Cases\196D-WF-222421\2002\0215[redacted]OS.wpd

FD-515 (Rev. 8-4-99)

Squad supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared 2-12-02
Date Loaded 2-13-02
Data Loader's Initials

Accomplishment involves: (check all that apply)	
Drugs	☐
A Fugitive	☐
Bankruptcy Fraud	☐
Computer Fraud/Abuse	☑
Corruption of Public Officials	☐
Money Laundering	☐
Sub Invest Asst by FO (s)	☐

Asst. FO(s) __, __, __, __ (A, B, C, D)

Task Force

File Number
196D-WF-222421

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad

Assisting Agents Soc. Sec. No. X

1. - -

Name:

2. - -

Name:

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3 - Helped, substantially
4 - Absolutely essential
For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Div. Exam			UCO - Group I			Ft. Mon.- NRCSC
		Aircraft Asst.			Lab. Field Sup			UCO - Group II			For. Lang Asst.
		Computer			Pen Registers			UCO - Nat. Back			Non FBI Lab Ex
		Consen Mon.			Photo Cover.			NCAVC / VI - CAP			Vict-Witn Coor
		Elsur / FISC			Polygraph			Crim/NS Intel Asst			
		Elsur / T. III			Search Warrant			Crisis Neg. - Fed.			
		Eng. Field Spt.			Show Money			Crisis Neg. - Local			
		Eng. Tape Ex			SOG Asst.			ERT Asst.			
		Legats Asst.			Swat Team			Butte - ITC			
		Evid Purchase			Tech. Ag/Equip			Sav - ITC			
		Inf/CW Info			Phone Toll Rec			Poc - WRCSC			

b6
b7C
b7E

A. Complaint / Information / Indictment
☒ Federal ☐ Local ☐ International
Complaint Date: 02-6-02
Check if Civil Rico Complaint ☐
Information Date: _____
Indictment Date: _____

B. Locate/ Arrest
☐ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☐ C
Locate Date: _____
Arrest Date: _____
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date: _____
☐ Federal ☐ Local

D. Recovery/Restitution/PELP X
☐ Federal ☐ Local ☐ International
Recovery Date: _____
Code• ____ ✓ Amount $ _____
Code• ____ ✓ Amount $ _____
Restitution Date: _____
☐ Court Ordered
☐ Pretrial Diversion
Code • _____ Amount $ _____
PELP Date: _____
Code• ____ ✓ Amount $ _____

E. Hostage(s) Released Date: _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date: _____

F. Conviction
☐ Federal ☐ Local ☐ International
Conviction Date: _____
Subject Description Code: ___ • (____)•
For 6F, G, H--Include Agency Code
☐ Felony or ☐ Misdemeanor
☐ Plea or ☐ Trial
State: _____ Judicial District: _____

G. U.S. Code Violation
Required for Sections A, B, F, and J
(Federal only)

Title	Section	# Counts
18	1343	1

H. Sentence Date: _____
Sentence Type: ____, ____, ____•
In Jail: Years _____ Months _____
Suspended: Years _____ Months _____
Probation: Years _____ Months _____
Fines: $ _____

I. Disruption/Dismantlement:
Disruption Date: _____
Dismantlement Date: _____
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____
Also Complete "Section G"
Other Civil Matters Date: _____
Judgment ___ ___ ___ ___•
Judicial Outcome ___ ___ ___ ___• X
Amount $ _____
Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____
Subject Description Code ____•
Type: ☐ Suspension ☐ Debarment ☐ Injunction
Length: ☐ Permanent or Years ____ Months ____

L. Asset Seizure Date: _____
Asset Forfeiture Date: _____
CATS # Mandatory _____
Check one of the three:
☐ Asset Forfeiture - Administrative
☐ Asset Forfeiture - Civil Judicial
☐ Asset Forfeiture - Criminal
Do not indicate $ value in Section D

M. Acquittal / Dismissal / Pretrial Diversion
Acquittal Date: _____
Dismissal Date: _____
Pretrial Diversion Date: _____

N. Subject Information (Required for all Sections excluding Section D (Recovery/PELP), Section E (Hostage), Section I, and Section L.)

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

b6
b7C

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug Organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

X Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1m and PELP over $5m.

Serial No. of FD-515

25

196D-WF-222421

For Further Instructions See: MAOP, [redacted]I, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCG U.S. Coast Guard
USCS U.S. Customs Service
USDS U.S. Department of State
USMS U.S. Marshals Service
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERNMENT SUBJECTS (6F,6G,6H- Include Agency Code)

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

02/13/2002 ********** COMPLAINT **********

SENSITIVE / UNCLASSIFIED

Case Number: 196D-WF-222421
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 02/13/2002
Accom Date.: 02/06/2002

b6
b7C
b7E

Does Accomplishment Involve

Drugs . . . . . : N
A Fugitive. . . . . : N
Bankruptcy Fraud. . . . . : N
Computer Fraud/Abuse. . . . . : Y
Corruption of Public Officials: N
Money Laundering. . . . . : N

Assisting Agents SOC

Subject Name

RA | Squad | Task Force

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	VICT-WITN COOR
ELSUR/FISC	POLYGRAPH	CRIM/NS INTEL	IO WANTED FLYR
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	SARS
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	CART
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Complaint is for Federal/Local/International (F/L/I)... : F
Civil Rico Complaint (Y/N).....: N

United States Code Violation

Title	Section	Counts
18	1343	1

Accomplishment Narrative

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 8-4-99)

[ ] Squad supervisor approval (please initial)

Accomplishment Report

(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared _____
Date Loaded 3-18-02
Data Loader's Initials [ ]

b6
b7C
b7E

Accomplishment involves: (check all that apply)

- [ ] Drugs
- [ ] A Fugitive
- [ ] Bankruptcy Fraud
- [x] Computer Fraud/Abuse
- [ ] Corruption of Public Officials
- [ ] Money Laundering
- [ ] Sub Invest Asst by FO (s)

Asst. FO(s) __, __, __, __ (A, B, C, D)

Task Force: [ ]

File Number
196D-WF-222421

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad

Assisting Agents Soc. Sec. No. ×
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3 - Helped, substantially
4 - Absolutely essential
For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Div. Exam			UCO - Group I			Ft. Mon.- NRCSC
		Aircraft Asst.			Lab. Field Sup			UCO - Group II			For. Lang Asst.
		Computer			Pen Registers			UCO - Nat. Back			Non FBI Lab Ex
		Consen Mon.			Photo Cover.			NCAVC / VI - CAP			Vict-Witn Coor
		Elsur / FISC			Polygraph			Crim/NS Intel Asst			
		Elsur / T. III			Search Warrant			Crisis Neg. - Fed.			
		Eng. Field Spt.			Show Money			Crisis Neg. - Local			
		Eng. Tape Ex			SOG Asst.			ERT Asst.			
		Legats Asst.			Swat Team			Butte - ITC			
		Evid Purchase			Tech. Ag/Equip.			Sav - ITC			
		Int/CW Info			Phone Toll Rec			Poc - WRCSC			

A. Complaint / Information / Indictment
[x] Federal [ ] Local [ ] International
Complaint Date: _____
Check if Civil Rico Complaint [ ]
Information Date: 3-14-02
Indictment Date: _____

B. Locate/ Arrest
[ ] Federal [ ] Local [ ] International
Subject Priority: [ ] A [ ] B [ ] C
Locate Date: _____
Arrest Date: _____
[ ] Subject Resisted Arrest
[ ] Subject Arrested was Armed

C. Summons Date: _____
[ ] Federal [ ] Local

D. Recovery/Restitution/PELP X
[ ] Federal [ ] Local [ ] International
Recovery Date: _____
Code• ____ ✓Amount $ _____
Code• ____ ✓Amount $ _____
Restitution Date: _____
[ ] Court Ordered
[ ] Pretrial Diversion
Code • _____ Amount $ _____
PELP Date: _____
Code• ____ ✓Amount $ _____

E. Hostage(s) Released Date: _____
Released by: [ ] Terrorist [ ] Other
Number of Hostages: _____
Child Located Date: _____

F. Conviction
[ ] Federal [ ] Local [ ] International
Conviction Date: _____
Subject Description Code: ___ • (____)•
For 6F, G, H--Include Agency Code
[ ] Felony or [ ] Misdemeanor
[ ] Plea or [ ] Trial
State: _____ Judicial District: _____

G. U.S. Code Violation
Required for Sections A, B, F, and J
(Federal only)

Title	Section	# Counts
18	1343	1

H. Sentence Date: _____
Sentence Type: ____, ____, ____•
In Jail: Years _____ Months _____
Suspended: Years _____ Months _____
Probation: Years _____ Months _____
Fines: $ _____

I. Disruption/Dismantlement:
Disruption Date: _____
Dismantlement Date: _____
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____
Also Complete "Section G"
Other Civil Matters Date: _____
Judgment ___ ___ ___ ___•
Judicial Outcome ___ ___ ___ ___• X
Amount $ _____
Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____
Subject Description Code ____•
Type: [ ] Suspension [ ] Debarment [ ] Injunction
Length: [ ] Permanent or Years ____ Months ____

L. Asset Seizure Date: _____
Asset Forfeiture Date: _____
CATS # Mandatory _____
Check one of the three:
[ ] Asset Forfeiture - Administrative
[ ] Asset Forfeiture - Civil Judicial
[ ] Asset Forfeiture - Criminal
Do not indicate $ value in Section D

M. Acquittal / Dismissal / Pretrial Diversion
Acquittal Date: _____
Dismissal Date: _____
Pretrial Diversion Date: _____

N. Subject Information (Required for all Sections excluding Section D (Recovery/PELP), Section E (Hostage), Section I, and Section L.)

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

b6
b7C

For Indictments/Convictions only:
[ ] Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
[ ] Subject related to an OC/Drug Organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

X Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1m and PELP over $5m.

Serial No. of FD-515: 26

196D-WF-222421 - 26

For Further Instructions See: MAOP II, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCG U.S. Coast Guard
USCS U.S. Customs Service
USDS U.S. Department of State
USMS U.S. Marshals Service
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERNMENT SUBJECTS (6F,6G,6H- Include Agency Code)

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

03/19/2002 ********** INFORMATION **********

SENSITIVE / UNCLASSIFIED

Case Number: 196D-WF-222421
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 03/19/2002
Accom Date.: 03/14/2002

b6
b7C
b7E

Does Accomplishment Involve		Assisting Agents SOC	Subject Name
Drugs	: N		
A Fugitive.	: N		
Bankruptcy Fraud.	: N		
Computer Fraud/Abuse.	: Y		RA Squad Task Force
Corruption of Public Officials:	N		
Money Laundering.	: N		

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	VICT-WITN COOR
ELSUR/FISC	POLYGRAPH	CRIM/NS INTEL	IO WANTED FLYR
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	SARS
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	CART
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Information is for Federal, Local, or International (F/L/I)....: F
Serial Number of Information.....:

United States Code Violation

Title	Section	Counts
18	1343	1

Accomplishment Narrative

SENSITIVE / UNCLASSIFIED

U.S. Department of Justice

Roscoe C. Howard, Jr.
United States Attorney for the District of Columbia

Judiciary Center
555 Fourth St. N.W.
Washington, D.C. 20001

PRESS RELEASE

FOR IMMEDIATE RELEASE
Thursday, April 4, 2002

For Information Contact Public Affairs [redacted]

b6
b7C
b7E

Former D.C. Resident Pleads Guilty in Wire Fraud Scheme

Washington, D.C. - United States Attorney Roscoe C. Howard, Jr. and Van Harp, Assistant Director in Charge, FBI, announced that [redacted] formerly a resident of the District of Columbia, pleaded guilty today before the Honorable Gladys Kessler, United States District Judge, to one Count of Wire Fraud, in violation of Title18, United States Code, Section 1343, in connection with a scheme to defraud the American Petroleum Institute. [redacted] faces a possible sentence under the U.S. Sentencing Guidelines of 15 to 21 months incarceration, restitution of $153,309.00, 3 years of supervised release, and a fine up to $250,000.00. Sentencing is scheduled for June 26, 2002.

According to information presented by the Government at the court proceedings today, [redacted] was employed by the American Petroleum Institute (hereinafter, "API"), located at 1220 L Street, N.W., Washington, D.C. API is the Primary trade association for the Unites States oil and gas industry. [redacted]

[redacted]

196D-WF-222421 8/15/02 27

[redacted]

b6
b7C

As part of her job duties, [redacted] traveled to conferences throughout the United States, which she attended on behalf of API. In conjunction with such travel, [redacted]

[redacted]

Form 1993 through November 2000, [redacted] devised a scheme to defraud API through the

[redacted]

It was part of the scheme that [redacted]

[redacted]

b6
b7C

From 1993 through November 2000

In announcing today's sentence, U.S. Attorney Howard and FBI Assistant Director in Charge Harp commended the work of FBI Special Agent and the staff of the U.S. Attorney's Office, including Auditor, and Assistant U.S. Attorney who is prosecuting the case.

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 04/04/2002

To: Washington Field

From: Washington Field

Contact: SA

Approved By:

Drafted By:

b6
b7C
b7E

Case ID #: 196D-WF-222421

Title:
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Guilty plea.

Details: On April 4, 2002 pleaded guilty before the Honorable Gladys Kessler, United States District Court Judge, District of Columbia, to one count of Wire Fraud in violation of Title 18 United States Code Section 1343. Sentencing is scheduled for June 26, 2002.

♦♦

b6
b7C

AH A s:\Cases\196D-WF-222421\2002\0405 01.wpd 196D-WF-222421- 28

Image Not Available

U.S. Department of Justice

Roscoe C. Howard, Jr.
United States Attorney for the District of Columbia

Judiciary Center
555 Fourth St. N.W.
Washington, D.C. 20530

PRESS RELEASE

FOR IMMEDIATE RELEASE
Thursday, April 4, 2002

For Information Contact Public Affairs

b6
b7C
b7E

Former employee pleads guilty to defrauding API of in fraudulent reimbursement scheme

Washington, D.C. - United States Attorney Roscoe C. Howard, Jr. and Van A. Harp, Assistant Director in Charge of the FBI's Washington Field Office, announced tha formerly a resident of the District of Columbia, pleaded guilty today before the Honorable Gladys Kessler, United States District Judge, to one count of wire fraud in connection with a scheme to defraud the American Petroleum Institute. faces a possible sentence under the U.S. Sentencing Guidelines of 15 to 21 months incarceration, restitution of $153,309.00, 3 years of supervised release, and a fine up to $250,000.00. Sentencing is scheduled for June 26, 2002.

According to the government's evidence, was employed by the American Petroleum Institute, located at 1220 L Street, N.W., Washington, D.C. API is the primary trade association for the Unites States oil and gas industry.

1960-WF-222421-29

As part of her job duties [redacted] traveled to conferences throughout the United States, which she attended on behalf of API. In conjunction with such travel, [redacted]

b6
b7C

[redacted]

From 1993 through November 2000 [redacted] devised a scheme to defraud API through the [redacted]

It was part of the scheme that [redacted]

[redacted]

[redacted] b6 b7C

In announcing today's guilty plea, U.S. Attorney Howard and FBI Assistant Director in Charge Harp commended the work of FBI Special Agent [redacted] and the staff of the U.S. b6 b7C Attorney's Office, including [redacted] Auditor, and Assistant U.S. Attorney [redacted] who is prosecuting the case.

###

#02-114

FD-515 (Rev. 8-4-99)

☐ Squad supervisor approval (please initial) RAL

Accomplishment Report

([redacted] mplishment must be reported and loaded into ISRAA [redacted] within 30 days from date of accomplishment)

Date Prepared _____
Date Loaded _____
Data Loader's Initials _____

b6
b7C
b7E

Accomplishment involves: (check all that apply)

- Drugs ☐
- A Fugitive ☐
- Bankruptcy Fraud ☐
- Computer Fraud/Abuse ☒
- Corruption of Public Officials ☐
- Money Laundering ☐
- Sub Invest Asst by FO (s) ☐

Asst. FO(s) __, __, __, __ A, B, C, D

Task Force

File Number
196D-WF-222421

Stat Agent Soc. Sec. No.

Stat Agent Name

RA	Squad

Assisting Agents Soc. Sec. No. ×
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used

1-Used, but did not help
2-Helped, minimally
3 - Helped, substantially
4 - Absolutely essential
For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
	[redacted]	Fin. Analyst		[redacted]	Lab. Div. Exam			UCO - Group I		[redacted]	Ft. Mon.- NRCSC
	[redacted]	Aircraft Asst.		[redacted]	Lab. Field Sup			UCO - Group II		[redacted]	For. Lang Asst.
	[redacted]	Computer			Pen Registers			UCO - Nat. Back		[redacted]	Non FBI Lab Ex
		Consen Mon.			Photo Cover.		[redacted]	NCAVC / VI - CAP		[redacted]	Vict-Witn Coor
		Elsur / FISC		[redacted]	Polygraph		[redacted]	Crim/NS Intel Asst			
		Elsur / T. III			Search Warrant		[redacted]	Crisis Neg. - Fed.			
	[redacted]	Eng. Field Spt.		[redacted]	Show Money		[redacted]	Crisis Neg. - Local			
	[redacted]	Eng. Tape Ex			SOG Asst.		[redacted]	ERT Asst.			
	[redacted]	Legats Asst.		[redacted]	Swat Team		[redacted]	Butte - ITC			
		Evid Purchase		[redacted]	Tech. Ag/Equip.		[redacted]	Sav - ITC			
		Int/CW Info		[redacted]	Phone Toll Rec		[redacted]	Poc - WRCSC			

A. Complaint / Information / Indictment
☐ Federal ☐ Local ☐ International
Complaint Date: _____
Check if Civil Rico Complaint ☐
Information Date: _____
Indictment Date: _____

B. Locate/ Arrest
☐ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☐ C
Locate Date: _____
Arrest Date: _____
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date: _____
☐ Federal ☐ Local

D. Recovery/Restitution/PELP X
☐ Federal ☐ Local ☐ International
Recovery Date: _____
Code• _____ ✓ Amount $ _____
Code• _____ ✓ Amount $ _____
Restitution Date: _____
☐ Court Ordered
☐ Pretrial Diversion
Code • _____ Amount $ _____
PELP Date: _____
Code• _____ ✓ Amount $ _____

E. Hostage(s) Released Date: _____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: _____
Child Located Date: _____

F. Conviction
☒ Federal ☐ Local ☐ International
Conviction Date: 4-4-02
Subject Description Code: 8A • (____)•
For 6F, G, H--Include Agency Code
☒ Felony or ☐ Misdemeanor
☒ Plea or ☐ Trial
State: DC Judicial District: DC

G. U.S. Code Violation
Required for Sections A, B, F, and J
(Federal only)

Title	Section	# Counts
18	1343	1

H. Sentence Date: _____
Sentence Type: ____, ____, ____•
In Jail: Years _____ Months _____
Suspended: Years _____ Months _____
Probation: Years _____ Months _____
Fines: $ _____

I. Disruption/Dismantlement:
Disruption Date: _____
Dismantlement Date: _____
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: _____
Also Complete "Section G"
Other Civil Matters Date: _____
Judgment ___ ___ ___ ___•
Judicial Outcome ___ ___ ___ ___• X
Amount $ _____
Suspension: Years _____ Months _____

K. Administrative Sanction Date: _____
Subject Description Code ____•
Type: ☐ Suspension ☐ Debarment ☐ Injunction
Length: ☐ Permanent or Years ____ Months ____

L. Asset Seizure Date: _____
Asset Forfeiture Date: _____
CATS # Mandatory _____
Check one of the three:
☐ Asset Forfeiture - Administrative
☐ Asset Forfeiture - Civil Judicial
☐ Asset Forfeiture - Criminal
Do not indicate $ value in Section D

M. Acquittal / Dismissal / Pretrial Diversion
Acquittal Date: _____
Dismissal Date: _____
Pretrial Diversion Date: _____

N. Subject Information (Required for all Sections excluding Section D (Recovery/PELP), Section E (Hostage), Section I, and Section L.)

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

b6
b7C

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug Organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

X Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1m and PELP over $5m.

Serial No. of FD-515: 30

196D-WF-222421

For Further Instructions See: MAOP, [redacted]I, Sections 3-5 thru 3-5.3.

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCG U.S. Coast Guard
USCS U.S. Customs Service
USDS U.S. Department of State
USMS U.S. Marshals Service
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERNMENT SUBJECTS (6F,6G,6H- Include Agency Code)

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

04/07/2003 ********** CONVICTION **********

SENSITIVE / UNCLASSIFIED

Case Number: 196D-WF-222421
Serial No.:

Stat Agent Name:
Stat Agent SOC.:

Report Date: 05/03/2002
Accom Date.: 04/04/2002

Does Accomplishment Involve

Drugs . . . . . : N
A Fugitive. . . . . : N
Bankruptcy Fraud. . . . . : N
Computer Fraud/Abuse. . . . . : Y
Corruption of Public Officials: N
Money Laundering. . . . . : N

Assisting Agents SOC

Subject Name

b6
b7C
b7E

RA Squad Task Force

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	VICT-WITN COOR
ELSUR/FISC	POLYGRAPH	CRIM/NS INTEL	IO WANTED FLYR
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	SARS
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	CART
ENG TAPE EXAM	SOG ASST	ERT ASST	ASSET FORF PRO
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	FORF SUPPORT P
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Date of Conviction (MM/DD/YYYY) . . . . . .: 04/04/2002
Subject Description Code. . . . . .: 8A

Federal, Local, or International (F/L/I) . . . . . .: F
Felony or Misdemeanor (F/M) . . . . . .: F

Plea or Trial (P/T) . . . . . .: P
Judicial State. . . . . .: DC
Judicial District . . . . . .: DC

U. S. Code Violation(s)

Title	Section	Counts
18	1343	1

Form FD-515a:
Subject's Role =

Accomplishment Narrative

SENSITIVE / UNCLASSIFIED

FD-515 (Rev. 8-4-99)

Squad supervisor approval (please initial)

EXPEDITE

Accomplishment Report
(Accomplishment must be reported and loaded into ISRAA within 30 days from date of accomplishment)

Date Prepared ____
Date Loaded 2/8/ 7/10/02 b6
Data Loader's Initials ____ b7C b7E

Accomplishment involves: (check all that apply)

- ☐ Drugs
- ☐ A Fugitive
- ☐ Bankruptcy Fraud
- ☐ Computer Fraud/Abuse
- ☐ Corruption of Public Officials
- ☐ Money Laundering
- ☐ Sub Invest Asst by FO (s)

Asst. FO(s) __, __, __, __ (A, B, C, D)

Task Force

File Number	Stat Agent Soc. Sec. No.	Stat Agent Name	RA	Squad
196D-WF-222421				

Assisting Agents Soc. Sec. No. ×
1. - -
Name:
2. - -
Name:

Investigative Assistance or Technique Used
1-Used, but did not help
2-Helped, minimally
3 - Helped, substantially
4 - Absolutely essential
For Sub. Invest. Assist. by other FO (s) indicate A,B,C,D for corresponding FO

Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT	Rate	FO	IAT
		Fin. Analyst			Lab. Div. Exam			UCO - Group I			Ft. Mon.- NRCSC
		Aircraft Asst.			Lab. Field Sup			UCO - Group II			For. Lang Asst.
		Computer			Pen Registers			UCO - Nat. Back			Non FBI Lab Ex
		Consen Mon.			Photo Cover.			NCAVC / VI - CAP			Vict-Witn Coor
		Elsur / FISC			Polygraph			Crim/NS Intel Asst			
		Elsur / T. III			Search Warrant			Crisis Neg. - Fed.			
		Eng. Field Spt.			Show Money			Crisis Neg. - Local			
		Eng. Tape Ex			SOG Asst.			ERT Asst.			
		Legats Asst.			Swat Team			Butte - ITC			
		Evid Purchase			Tech. Ag/Equip			Sav - ITC			
		Inf/CW Info			Phone Toll Rec			Poc - WRCSC			

A. Complaint / Information / Indictment
☐ Federal ☐ Local ☐ International
Complaint Date: ____
Check if Civil Rico Complaint ☐
Information Date: ____
Indictment Date: ____

B. Locate/ Arrest
☐ Federal ☐ Local ☐ International
Subject Priority: ☐ A ☐ B ☐ C
Locate Date: ____
Arrest Date: ____
☐ Subject Resisted Arrest
☐ Subject Arrested was Armed

C. Summons Date: ____
☐ Federal ☐ Local

D. Recovery/Restitution/PELP X
☐ Federal ☐ Local ☐ International
Recovery Date: ____
Code• ____ ✓Amount $ ____
Code• ____ ✓Amount $ ____
Restitution Date: ____
☐ Court Ordered
☐ Pretrial Diversion
Code • ____ Amount $ ____
PELP Date: ____
Code• ____ ✓Amount $ ____

E. Hostage(s) Released Date: ____
Released by: ☐ Terrorist ☐ Other
Number of Hostages: ____
Child Located Date: ____

F. Conviction
☐ Federal ☐ Local ☐ International
Conviction Date: ____
Subject Description Code: __ __ • (____)•
For 6F, G, H--Include Agency Code
☐ Felony or ☐ Misdemeanor
☐ Plea or ☐ Trial
State: ____ Judicial District: ____

G. U.S. Code Violation
Required for Sections A, B, F, and J
(Federal only)

Title	Section	# Counts
18	1343	1

H. Sentence Date: 07/09/02
Sentence Type: IS, ____, ____•
In Jail: Years ____ Months 15
Suspended: Years ____ Months ____
Probation: Years ____ Months ____
Fines: $ ____

I. Disruption/Dismantlement:
Disruption Date: ____
Dismantlement Date: ____
Completion of FD-515a Side 2 Mandatory

J. Civil Rico Matters Date: ____
Also Complete "Section G"
Other Civil Matters Date: ____
Judgment ____ ____ ____ ____•
Judicial Outcome ____ ____ ____ ____• X
Amount $ ____
Suspension: Years ____ Months ____

K. Administrative Sanction Date: ____
Subject Description Code ____•
Type: Length:
☐ Suspension ☐ Permanent
☐ Debarment or
☐ Injunction Years ____ Months ____

L. Asset Seizure Date: ____
Asset Forfeiture Date: ____
CATS # Mandatory ____
Check one of the three:
☐ Asset Forfeiture - Administrative
☐ Asset Forfeiture - Civil Judicial
☐ Asset Forfeiture - Criminal
Do not indicate $ value in Section D

M. Acquittal / Dismissal / Pretrial Diversion
Acquittal Date: ____
Dismissal Date: ____
Pretrial Diversion Date: ____

N. Subject Information (Required for all Sections excluding Section D (Recovery/PELP), Section E (Hostage), Section I, and Section L.)

Name	Race •	Sex	Date of Birth	Social Security No. (if available)

b6 b7C

For Indictments/Convictions only:
☐ Subject related to an LCN, Asian Organized Crime (AOC), Italian Organized Crime (IOC), Russian/Eastern European, Caribbean, or Nigerian Organized Crime Group - Complete FD-515a, Side 1 Blocks A-E mandatory, F-H as appropriate.
☐ Subject related to an OC/Drug Organization, a VCMO Program National Gang Strategy target group, or a VCMO Program National Priority Initiative target group - Complete FD-515a, Side 1 Blocks A-C only.

X Additional information may be added by attaching another form or a plain sheet of paper for additional entries.
• See codes on reverse side.
✓ Requires that an explanation be attached and loaded into ISRAA for recovery over $1m and PELP over $5m.

Serial No. of FD-515: 31

For Further Instructions See: MAOI[redacted] II, Sections 3-5 thru 3-5.3.

[redacted]

PROPERTY CODES

01 Cash
02 Stocks, Bonds or Negot. Instruments
03 General Retail Merchandise
04 Vehicles
05 Heavy Machinery & Equipment
06 Aircraft
07 Jewelry
08 Vessels
09 Art, Antiques or Rare Collections
11 Real Property
20 All Other

SENTENCE TYPES

CP Capital Punishment
JS Jail Sentence
LS Life Sentence
NS No Sentence (Subject is a Fugitive, Insane, has Died, or is a Corporation)
PB Probation
SJ Suspension of Jail Sentence
YC Youth Correction Act

PELP CODES

22 Counterfeit Stocks/Bonds/Currency/ Negotiable Instruments
23 Counterfeit/Pirated Sound Recordings or Motion Pictures
24 Bank Theft Scheme Aborted
25 Ransom, Extortion or Bribe Demand Aborted
26 Theft From or Fraud Against Government Scheme Aborted
27 Commercial or Industrial Theft Scheme Aborted
30 All Other

RACE CODES

A Asian/Pacific Islander
B Black
I Indian/American
U Unknown
W White
X Nonindividual

AGENCY CODES

ACIS Army Criminal Investigative Service
BATF Bureau of Alcohol, Tobacco & Firearms
BIA Bureau of Indian Affairs
DCAA Defense Contract Audit Agency
DCIS Defense Criminal Investigative Service
DEA Drug Enforcement Administration
DOC Department of Corrections
DOI Dept. of Interior
EPA Environmental Protection Agency
FAA Federal Aviation Administration
FDA Food and Drug Administration
HHS Dept. of Health & Human Services
HUD Dept. of Housing & Urban Development
INS Immigration and Naturalization Service
IRS Internal Revenue Service
NASA Nat'l Aeronautics & Space Admin
NBIS Nat'l NARC Border Interdiction
NCIS Naval Criminal Investigative Service
RCMP Royal Canadian Mounted Police
SBA Small Business Administration
USBP U.S. Border Patrol
USCG U.S. Coast Guard
USCS U.S. Customs Service
USDS U.S. Department of State
USMS U.S. Marshals Service
USPS U.S. Postal Service
USSS U.S. Secret Service
USTR U.S. Treasury
LOC Local
CITY City
COUN County
ST State
OTHR Other

JUDGMENT CODES

CJ Consent Judgment
CO Court Ordered Settlement
DF Default Judgment
DI Dismissal
JN Judgment Notwithstanding
MV Mixed Verdict
SJ Summary Judgment
VD Verdict for Defendant
VP Verdict for Plaintiff

JUDICIAL OUTCOME

AG Agreement
BR Barred/Removed
CC Civil Contempt
DC Disciplinary Charges
FI Fine
PI Preliminary Injunction
PR Temporary Restraining Order
PS Pre-filing Settlement
RN Restitution
SP Suspension
VR Voluntary Resignation
OT Other

SUBJECT PRIORITY

A Subject wanted for crimes of violence (i.e., murder, manslaughter, forcible rape) against another individual or convicted of such a crime in the past five years

B Subject wanted for crimes involving loss or destruction of property valued in excess of $25,000 or convicted of such a crime in the past five years.

C All other subjects.

SUBJECT DESCRIPTION CODES

ORGANIZED CRIME SUBJECTS

1F Boss
1G Underboss
1H Consigliere
1J Acting Boss
1K Capodecina
1L Soldier

KNOWN CRIMINALS

2A Top Ten or I.O. Fugitive
2B Top Thief
2C Top Con Man

FOREIGN NATIONALS

3A Legal Alien
3B Illegal Alien
3C Foreign Official W/out Diplomatic Immunity
3D U.N. Employee W/out Diplomatic Immunity
3E Foreign Student
3F All Others

OTHERS

8A All Other Subjects
8B Company or Corporation

TERRORISTS

4A Known Member of a Terrorist Organization
4B Possible Terrorist Member or Sympathizer

UNION MEMBERS

5D President
5E Vice-President
5F Treasurer
5G Secretary/Treasurer
5H Executive Board Member
5I Business Agent
5J Representative
5K Organizer
5L Business Manager
5M Financial Secretary
5N Recording Secretary
5P Office Manager
5Q Clerk
5R Shop Steward
5S Member
5T Trustee
5U Other

GOVERNMENT SUBJECTS (6F,6G,6H- Include Agency Code)

6A Presidential Appointee
6B U.S. Senator/Staff
6C U.S. Representative/Staff
6D Federal Judge/Magistrate
6E Federal Prosecutor
6F Federal Law Enforcement Officer
6G Federal Employee - GS 13 & Above
6H Federal Employee - GS 12 & Below
6J Governor
6K Lt. Governor
6L State Legislator
6M State Judge/Magistrate
6N State Prosecutor
6P State Law Enforcement Officer
6Q State - All Others
6R Mayor
6S Local Legislator
6T Local Judge/Magistrate
6U Local Prosecutor
6V Local Law Enforcement Officer
6W Local - All Others
6X County Commissioner
6Y City Councilman

BANK EMPLOYEES

7A Bank Officer
7B Bank Employee

Case Number: 196D-WF-222421
Serial No.:

Stat Agent Name
Stat Agent SOC.

Report Date: 07/12/2002
Accom Date.: 07/09/2002

b6
b7C
b7E

Does Accomplishment Involve

Drugs . . . . . : N
A Fugitive. . . . . : N
Bankruptcy Fraud. . . . . : N
Computer Fraud/Abuse. . . . . : N
Corruption of Public Officials: N
Money Laundering. . . . . : N

Assisting Agents SOC

Subject Name

RA Squad Task Force

Sub. Invest. Asst by Other FOs:

1 = Used, but did not help
2 = Helped, Minimally
3 = Helped, Substantially
4 = Absolutely Essential

Investigative Assistance or Technique Used

FINAN ANALYST	LAB DIV EXAMS	UCO-GROUP I	FT. MON-NRCSC
AIRCRAFT ASST	LAB FIELD SUP	UCO-GROUP II	FOR LANG ASST
COMPUTER ASST	PEN REGISTERS	UCO-OTHER	NON FBI LAB EX
CONSEN MONITR	PHOTO COVERGE	NCAVC/VI-CAP	VICT-WITN COOR
ELSUR/FISC	POLYGRAPH	CRIM/NS INTEL	IO WANTED FLYR
ELSUR/III	SRCH WAR EXEC	CRIS NEG-FED	SARS
ENG FIELD SUP	SHOW MONEY	CRIS NEG-LOC	CART
ENG TAPE EXAM	SOG ASST	ERT ASST	
LEGATS ASST.	SWAT TEAM	BUTTE-ITC	
EVIDNCE PURCH	TECH AG/EQUIP	SAVANNAH-ITC	
INFORMANT/CW	TEL TOLL RECS	POC-WRCSC	

Type of Sentence . . . : JS
Date of Sentencing . ..: 07/09/2002
Federal, Local, or International (F/L/I): F

	Year	Month
Time in Jail. .:	1	3
Time Suspended.:		
Probation Time.:		
Total Fines. . :$		

Accomplishment Narrative

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

UNITED STATES OF AMERICA :	Criminal No.: [redacted]	b6 b7C b7E
v. :		
[redacted] :	Sentencing: July 9, 2002	
Defendant. :		

GOVERNMENT'S OPPOSITION TO DEFENDANT'S MOTION FOR DOWNWARD DEPARTURE

NOW COMES the United States of America, by and through its attorney, the United States Attorney for the District of Columbia, and respectfully submits this Opposition to Defendant's Motion for Downward Departure. This memorandum, together with the Government's allocution at the time of sentencing, is intended to illuminate matters asserted by the defense in its memorandum.

I. INTRODUCTION

[redacted] b6 b7C

7/10/02

In its memorandum, the defense argues that the court should grant defendant [redacted] a downward departure pursuant to Sections 5K2.13 and 5K2.0 of the U.S. Sentencing Guidelines, asserting that her criminal conduct resulted from the combined factors [redacted] In support of her arguments, defendant makes a number of factual assertions, and has proffered a letter from [redacted] a Clinical and Forensic Psychologist, apparently hired by the defense for the singular purpose of seeking support for a downward departure in this case. For the reasons that follow, the Government asserts that the defendant's arguments lack merit and

32

196D-WF-222421

urges the court to impose a sentence in accordance with the plea agreement and within the applicable guideline range.

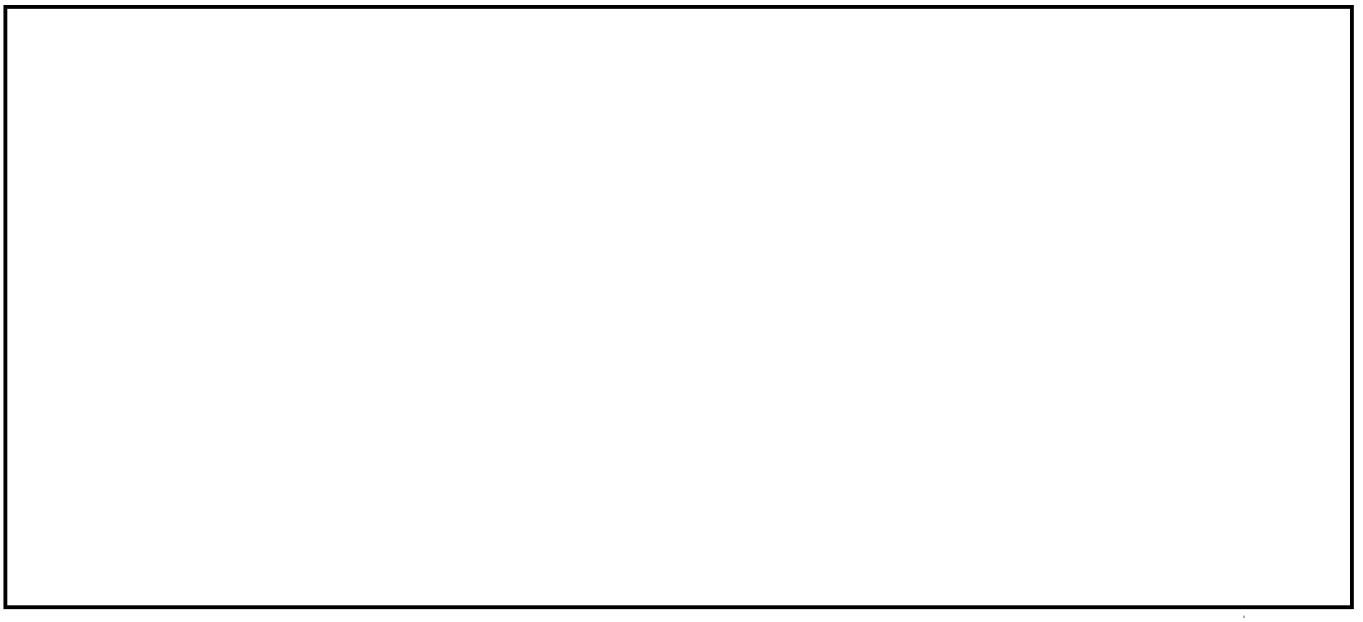
II. ARGUMENT

A. Defendant [redacted] was not suffering from Diminished Mental Capacity at the time of the offenses in this case. Therefore, a Downward Departure pursuant to §5K2.13 is not appropriate.

The defense asserts that because defendant [redacted] which contributed significantly to the offense conduct, she is entitled to a downward departure pursuant to §5K2.13. (Defendant's Motion for Downward Departure at 4-5). This assertion is without merit.

The conduct that forms the basis of this case began during 1993 when defendant [redacted] devised a scheme to defraud the American Petroleum Institute (API) through [redacted] Between approximately 1993 and November 2000, when an audit at API uncovered the scheme, defendant [redacted]

[redacted]



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Section 5K2.13 of the 2001 U.S. Sentencing Guidelines reflects a policy statement of the Sentencing Commission concerning the authority of the Court to depart from a guideline sentence on the basis of "diminished capacity." The policy statement provides in part that:

> "A sentence below the applicable guideline range may be warranted if the defendant committed the offense while suffering from a significantly reduced mental capacity...If a departure is warranted, the extent of the departure should reflect the extent to which the reduced mental capacity contributed to the commission of the offense." (U.S.S.G. §5K2.13).

Application Note 1, which appears in the Commentary to §5K2.13 indicates that "significantly reduced mental capacity" means that the defendant, although convicted, has a significantly impaired ability to (A) understand the wrongfulness of the behavior comprising the offense or to exercise the power of reason; or (B) control behavior that the defendant knows is wrong. (U.S.S.G. §5K2.13, Commentary, Appl. Note 1). Whether a defendant's reduced mental capacity "contributed to the commission of a crime" is an individualized determination to be made by a sentencing court in light of the entire record before it. (United States v. Leandre, 132 F.3d 796, 800 (D.C. Cir. 1998)).

The facts of this case do not meet the Sentencing Commission's definition of "significantly reduced mental capacity." Therefore, in the first instance, the Government requests that the Court refrain from exercising its discretion on the grounds that this case does not warrant a departure from the guideline range.

This case does not involve a single criminal occurrence or a single transaction. Rather, the defendant engaged in a complex series of acts that covered a seven-year period all in an effort to divert funds for her own personal benefit. [redacted] b6 b7C

[redacted]

In addition, the diversion of money from API involved significant planning and action.

[redacted]

The defense relies on the report [redacted] whose report essentially provides a b6 b7C narrative of the psychologist's two interviews with the defendant and a conclusion that defendant

[redacted]

[redacted] b6 b7C

[redacted] b6 b7C

The Defense asserts that a downward departure is warranted pursuant to 5K2.0 based on defendant [redacted] mental and emotional health, the effect of her mental health on her life and on the particular circumstances of the offense, and her efforts to address her mental health. (Defense Motion for Downward Departure at p. 8).

U.S.S.G. §5K2.0 provides, in part: "The decision as to whether and to what extent departure is warranted rests with the sentencing court on a case-specific basis...an offender characteristic that is, in the Commission's view 'not ordinarily relevant' in determining whether a sentence should be outside the applicable guideline range may be relevant to this determination if such characteristic or circumstance is present to an unusual degree and distinguishes the case from the 'heartland' cases covered by the guidelines. (U.S.S.G. §5K2.0). The commentary to that guideline section quotes the Supreme Court, and goes on to state that, "[b]efore a departure

is permitted, certain aspects of the case must be found unusual enough for it to fall outside the heartland of cases in the Guideline. (Commentary to U.S.S.G. §5K2.0 quoting Koon v. United States, 518 U.S. 81 at 98 (1996)). In the absence of a characteristic or circumstance that distinguishes a case as sufficiently atypical to warrant a sentence different from that called for under the guidelines, a sentence outside the guideline range is not authorized. (See 18 U.S.C., §3553(b)). The Commentary also makes it clear that in making its assessment, the district court's vantage point and day-to-day experience in criminal sentencing is highly relevant (U.S.S.G. §5K2.0, Commentary). In essence, whether a case is outside the heartland of cases covered by the guidelines is determined in large part by the district court's comparison of the case before it with the facts of other guidelines cases seen by the court. (Id.).

In this case, defendant, a highly regarded [redacted] voluntarily undertook a course of conduct to commit a sophisticated fraud scheme. [redacted] The Government submits that there is nothing so unusual about the facts of this case or about defendant so as to place it outside the heartland of cases governed by the Sentencing Guidelines.

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CONCLUSION

The Government respectfully requests that the Court impose a sentence within the applicable guidelines as specified in the plea agreement.

Respectfully Submitted,

ROSCOE C. HOWARD, JR.
UNITED STATES ATTORNEY
For the District of Columbia
D.C. Bar No. 246470

By: [redacted] b6 b7C

Assistant U.S. Attorney
Fraud and Public Corruption Section
D.C. Bar No. 415219
555 4th Street, N.W.
Washington, D.C. 20001
[redacted]

CERTIFICATE OF SERVICE

I, hereby certify that a copy of the foregoing Government's Opposition to Defendant's Motion for Downward Departure, was served on counsel for the defendant, [redacted] b6 b7C Assistant Federal Public Defender, Federal Public Defender Service, 625 Indiana Ave., N.W., Suite 550, Washington, D.C. 20004, via inter-office delivery, this____, day of July, 2002.

[redacted]
Assistant U.S. Attorney

U.S. Department of Justice

Roscoe C. Howard, Jr.
United States Attorney for the District of Columbia

Judiciary Center
555 Fourth St. N.W.
Washington, D.C. 20001

PRESS RELEASE

FOR IMMEDIATE RELEASE
Tuesday, July 9, 2002

For Information Contact Public Affairs

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Former D.C. Resident Sentenced in Wire Fraud Scheme

Washington, D.C. - United States Attorney Roscoe C. Howard, Jr. and Van Harp, Assistant Director in Charge, FBI, announced that formerly a resident of the District of Columbia, was sentenced today by the Honorable Gladys Kessler, United States District Judge, in connection with her earlier guilty plea to Wire Fraud, arising from a scheme to defraud the American Petroleum Institute.

According to information presented by the Government at the court proceedings, was employed by the American Petroleum Institute (hereinafter, "API"), located at 1220 L Street, N.W., Washington, D.C. API is the Primary trade association for the Unites States oil and gas industry.

7/20/02

196D-WF-222421 - 33

As part of her job duties, [redacted] traveled to conferences throughout the United States, which she attended on behalf of API. In conjunction with such travel, [redacted]

b6
b7C

[redacted]

Form 1993 through November 2000 [redacted] devised a scheme to defraud API through the [redacted]

It was part of the scheme that [redacted]

[redacted]

[redacted]

b6
b7C

In announcing today's sentence, U.S. Attorney Howard and FBI Assistant Director in Charge Harp commended the work of FBI Special Agent [redacted] and the staff of the U.S. Attorney's Office, including [redacted] Auditor, and Assistant U.S. Attorney [redacted] who prosecuted the case.

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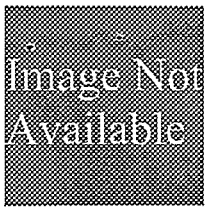

U.S. Department of Justice

Roscoe C. Howard, Jr.
United States Attorney for the District of Columbia

Judiciary Center
555 Fourth St. N.W.
Washington, D.C. 20001

PRESS RELEASE

FOR IMMEDIATE RELEASE
Tuesday, July 9, 2002

For Information Contact Public Affairs

b6
b7C
b7E

Former employee given prison sentence for defrauding API of in fraudulent reimbursement scheme

Washington, D.C. - United States Attorney Roscoe C. Howard, Jr. and Van A. Harp, Assistant Director in Charge of the FBI's Washington Field Office, announced that formerly a resident of the District of Columbia, was sentenced today by the Honorable Gladys Kessler, United States District Judge, to in connection with her earlier guilty plea to Wire Fraud, arising from a scheme to defraud the American Petroleum Institute.

According to information presented by the Government at the court proceedings was employed by the American Petroleum Institute (hereinafter, "API"), located at 1220 L Street, N.W., Washington, D.C. API is the Primary trade association for the United States oil and gas industry.

8/1/02

196D-WF-222424-34

As part of her job duties [redacted] traveled to conferences throughout the United States, which she attended on behalf of API. In conjunction with such travel [redacted]

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b7C

[redacted]

From 1993 through November 2000, [redacted] devised a scheme to defraud API through the [redacted]

It was part of the scheme that [redacted]

[redacted]

[redacted] b6 b7C

In announcing today's sentence, U.S. Attorney Howard and FBI Assistant Director in Charge Harp commended the work of FBI Special Agent [redacted] and the staff of the U.S. Attorney's Office, including [redacted] Auditor, and Assistant U.S. Attorney [redacted] who prosecuted the case. b6 b7C

###

#02-209

(Rev. 08-28-2000)

FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE **Date:** 08/02/2002

To: Washington Field

From: Washington Field

Contact: SA [redacted] b6 b7C b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 196D-WF-222421

Title: [redacted]
AMERICAN PETROLEUM INSTITUTE - VICTIM;
WF; ML

Synopsis: Close case.

Details: On July 9, 2002 [redacted] was sentenced before the Honorable Gladys Kessler, United States District Court Judge, District of Columbia, to a [redacted] violation of Title 18 United States Code Section 1343 (Fraud by Wire). b6 b7C

Due to the fact the captioned matter has been resolved and the time period to enter an appeal has elapsed, it is recommended this case be closed.

♦♦

C-4 9/25/02 8/19/02 b6 b7C

-35

[redacted] 8/5/02

0802[redacted]d.wpd

196D-WF-222421

COMMITTEE ON PUBLIC AFFAIRS of the AMERICAN PETROLEUM INSTITUTE

1271 AVENUE OF THE AMERICAS • NEW YORK 20, N. Y. • TELEPHONE JUdson 6-4200

June 26, 1961

Inspector H. Lynn Edwards
Federal Bureau of Investigation
Room 5254
Department of Justice Building
Washington, D. C.

Dear Inspector Edwards:

Thank you for your letter of June 22 forwarding a copy of your talk, "The Traffic Accident Problem", delivered at the recent Traffic Court Conference held at Fordham University. Our interest in your talk was aroused by a local press story.

We have read your talk and find it excellent. Your suggestions for positive approaches in dealing with traffic enforcement certainly are sound and constructive. We appreciate having the opportunity to read your speech.

Very truly yours,

Peter G. Griskivich

PGG:hm

Peter G. Griskivich, Manager
Economic Research Section

Address Above

REC-80 94-1-22668-67

NOT RECORDED
3 JUL 6 1961

EX 101

50 JUL 11 1961

DIRECTOR, FBI
ATTENTION MR. J.F.MALONE
TRAINING AND INSPECTION DIVISION
SA JAMES E. REILLY

6/5/61

AMERICAN BAR ASSOCIATION (ABA)
FOREMAN REGIONAL TRAFFIC COURT
CONFERENCE, FORDHAM UNIVERSITY,
NYC
6/5-9/61

The Fifth Annual Regional Conference of captioned organization opened June 5th at 8:30 a.m. approximately 65 registrants were in attendance representing about 20 states. The agenda for the day included:

Registration; short addresses of welcome by members of the faculty of the School of Law and Fordham College; ORISON S. MARDEN, on behalf of the association of the Bar of the City of New York; and ROBERT L. DONIGAN of the Northwestern University Traffic Institute. Speakers for the first day were ABRAHAM M. BLOCH, Chief Magistrate of Magistrate's Court of the City of New York, whose topic was "Is Justice Available in Traffic Courts?" JAMES P. ECONOMOS, Director of the Traffic Court Program of the American Bar Association whose topics were "The Traffic Court Judge-Key to Community Attitudes," and "Analysis of Judges Opening Remarks." The final speaker for the day was ROBERT L. DONIGAN, aforementioned, who spoke on the "Rules of Evidence in Trials of Traffic Cases."

No mention was made of the Bureau during the program. The session concluded at 5:10 p.m.

(3) - Bureau
(1 - J.F. MALONE, Training & Inspection Div.)
1 - New York

JER:emv
(4)

94-11-22668
NOT RECORDED
JUN 14 1961

5 JUN 16 196

RUSSELL I. BROWN
PRESIDENT
INSURANCE INSTITUTE FOR HIGHWAY SAFETY
WASHINGTON, D.C.

J. WILLIAM BETTMA
EXECUTIVE SECRETARY
PRESIDENT'S COMMITTEE FOR TRAFFIC SAFETY
WASHINGTON, D.C.

DR. WALTER A. CUTTER
DIRECTOR
CENTER FOR SAFETY AND EDUCATION
DIVISION OF GENERAL EDUCATION
NEW YORK UNIVERSITY, NEW YORK, N.Y.

HON. JAMES A. RAVELLA
JUDGE OF MUNICIPAL COURT
WARREN, OHIO

MORRIS J. ZWEIG

4 PINE STREET, ALBANY, NEW YORK (BUSINESS ADDRESS)
DOB SEPT. 6, 1907

22 ELM STREET, NASSAU, NEW YORK (RESIDENCE ADDRESS)

EDWARD A. SCOTT JR.
Home: 475 Wolf Lane, Pelham , New York

DOB: 1898

DR. HAROLD C. HARRISON
ASSISTANT DIRECTOR
LABORATORIES FOR SCIENTIFIC CRIMINAL INVESTIGATION
UNIVERSITY OF RHODE ISLAND
KINGSTON, RHODE ISLAND

ROBERT L. DONIGAN
COUNSEL
TRAFFIC INSTITUTE, NORTHWESTERN UNIVERSITY
EVANSTON, ILLINOIS

ORISON S. MARDEN
MEMBER, HOUSE OF DELEGATES
AMERICAN BAR ASSOCIATION
REPRESENTING BAR OF THE CITY OF NEW YORK, NEW YORK, N.Y.

ABRAHAM M. BLOCH
CHIEF MAGISTRATE
MAGISTRATES COURT
NEW YORK, NY

JOHN D. CALAMARI
PROFESSOR OF LAW
FORDHAM UNIVERSITY

WILLIAM H. MULLIGAN
DEAN, SCHOOL OF LAW
FORDHAM UNIVERSITY

COLONEL EDWIN W. WEISSMAN
CHIEF OF PLANS AND OPERATIONS DIVISION
OFFICE OF THE PROVOST MARSHAL GENERAL
WASHINGTON, D.C.

DR. JAMES L. MALFETTI
EXECUTIVE OFFICER
SAFETY RESEARCH AND EDUCATION PROJECT
TEACHER'S COLLEGE, COLUMBIA UNIVERSITY, NEW YORK, N.Y.

XEROX
JUN 6 1961

CAPTAIN JEROME J. O'NEILL
SAFETY DIVISION, NEW YORK CITY POLICE DEPARTMENT

ENCLOSURE
94-1-22668
ENCLOSURE

OPTIONAL FORM NO. 10

UNITED STATES GOVERNMENT

Memorandum

Tolson
Belmont
Mohr
Callahan
Conrad
DeLoach
Evans
Malone
Rosen
Sullivan
Tavel
Trotter
Tele. Room
Ingram
Gandy

TO : Mr. Malone DATE: 6/2/61

FROM : SA James E. Reilly

SUBJECT: AMERICAN BAR ASSOCIATION (ABA)
FORDHAM REGIONAL TRAFFIC COURT CONFERENCE
FORDHAM UNIVERSITY, N.Y.C., JUNE 5 - 9, 1961

The Director has previously approved my accepting an invitation to attend the captioned conference as a guest registrant. An advance copy of the agenda listed the individuals who would be speakers at the Conference (list attached).

It is noted that in his memorandum to you dated May 11, 1961, under the same caption, Mr. H. L. Edwards furnished information concerning Scott, Zweig, and Ravella.

The indices contained no identifiable derogatory information regarding the other individuals with the exception of William H. Mulligan and Dr. James L. Malfetti.

Concerning Mulligan: Bufile 100-4712-528, P. 374 contains a Treasury Department report dated January 2, 1945, in answer to a Bureau request dated December 28, 1944. The report lists William Hughes Mulligan as Chairman of the Fordham University Bronx Subchapter of the American First Committee.

Bufile 100-7321-78, P. 43 contains a report of SA Ralph F. Miller at New York, dated 8/15/47 on the National Lawyers' Guild. In the report one William Mulligan was listed as a speaker at the 5th Convention of the National Lawyers' Guild. He was characterized as counsel for teachers being investigated on charges of communist activities by the Rapp - Condert Committee in New York City (Lawyer's Guild Review, June 1941, P. 63).

Concerning Malfetti: Bufile 94-54102 contains information that he was convicted of assault and battery on 6/2/59 for fighting with a neighbor over damage to a wall between their property. He was fined $35 and $5 court costs. No other derogatory information was noted. Also attached herewith is a copy of my itinerary in connection with my attendance at the conference.

RECOMMENDATION:

None. For information.

94-1-22668-
NOT RECORDED
141 JUN 6 1961
12 JUN 5 1961

ENCLOSURE

Enclosures

1 - Mr. Edwards
1 - Mr. DeLoach

62 JUN 12 1961

JER:ml
(4)

ENCLOSURE

JUN 6 1961

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FD-72
(1-10-60)

FEDERAL BUREAU OF INVESTIGATION

FORM NO. 1
THIS CASE ORIGINATED AT WASHINGTON FIELD OFFICE

FILE NO.

REPORT MADE AT	DATE WHEN MADE	PERIOD FOR WHICH MADE	REPORT MADE BY
WASHINGTON, D. C.	AUG 23 1952	8/15,16,18-22/52	THOMAS J. JENKINS TJJ:NFR

TITLE	CHARACTER OF CASE
PEYTON FORD, et al	FRAUD AGAINST THE GOVERNMENT: MISCONDUCT IN OFFICE

SYNOPSIS OF FACTS:

(15) 41749

Review of Departmental files made and results set forth herein on the following matters: NEWBOLD MORRIS Tanker Case, Banana Case, Liquor and Cooperage Cases, General Electric Street Lamp Case and other Departmental files pertaining to subjects' clients which have not been previously reviewed. Departmental Attorneys and former Departmental employees interviewed concerning instant matter and results set forth.

EXPEDITE PROCESSING
AUG 25 1952

-P-

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INDEXED - 51

AUG 27 1952

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2 - New York (46-2603) (SD)
3 - Washington Field (46-2715)

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P 9 1952

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62-93558-55

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NEWBOLD MORRIS CASE

(Department of Justice File Entitled
NORTH AMERICAN SHIPPING AND TRADING COMPANY;
UNITED TANKER CORPORATION;
UNITED STATES PETROLEUM CARRIERS, INC.;
AMERICAN OVERSEAS TANKER CORPORATION;
SIMPSON, SPENCE AND YOUNG
FRAUD AGAINST THE GOVERNMENT)

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(Department of Justice File Entitled
TANKER MEACHAM -- MEACHAM CORPORATION,
NATIONAL TANKER CORPORATION, AMERICAN
OVERSEAS TANKER CORPORATION, JOSEPH E.
CASEY, JULIUS C. HOLMES, STANLEY KLINE,
CHINA TRADING AND INDUSTRIAL DEVELOPMENT
CORPORATION, CHINA PETROLEUM CORPORATION,
O. G. WEI, C. Y. CHEN, C. D. SHISH, D. N. TJIN
FORFEITURE OF TANKER MEACHAM FOR VIOLATION OF
46 USC, 19, 21, 41, 60, 808 -- E.D. VA. --
ADMIRALTY NUMBER 7477

On August 21, 1952, the Department of Justice files concerning the above matter were reviewed by Special Agents ALFONSE F. CALABRESE and CHARLES H. SCHAFER.

The Department of Justice files revealed that in connection with the above matter there are two main files, one case relating to the Admiralty Suit filed in the United States District Court November 9, 1951, at Norfolk, Virginia, seeking forfeiture of the Tanker MEACHAM and charging that the vessel was falsely registered as to citizenship by its owners in violation of Maritime Law. The file further reflects that the preparation of the litigation in respect to the Admiralty Suit is being handled by Departmental Attorneys in Washington, D. C., by J. FRANK STALEY, Head of the Admiralty Section in the Department, THOMAS F. McGOVERN and PATRICK F. COONEY.

Noted in the file was a letter from STEPHEN A. MITCHELL, Chief Counsel of the Subcommittee to Investigate the Department of Justice, dated May 1, 1952, to PHILIP B. PERLMAN, Acting Attorney General, Department of Justice, which is as follows:

"In Mr. ROBERT COLLIER's telephone call to you this afternoon, this Subcommittee requested the attendance of Messrs. J. FRANK STALEY, THOMAS McGOVERN, and PATRICK COONEY, of the Claims Division of the Department of Justice, at an executive session of this Subcommittee to be held at 9:00 A.M. on May 5, at the office of the Subcommittee in the George Washington Inn, New Jersey Avenue and C Street, S. E.

"You have advised us of your refusal to permit these men to testify concerning the United Tanker and Newbold Morris cases on the ground that one of the several cases involved is pending and is set for trial in early June, 1952. In order to avoid an impasse and at the same time to discharge this Subcommittee's obligations, we repeat our request for the attendance of the witnesses named and will restrict our questions at that hearing to asking whether Mr. NEWBOLD MORRIS or Mr. PEYTON FORD ever talked to any of them about the United Tanker or Newbold Morris cases and, if so, when and where."

There was also a letter addressed to Mr. MITCHELL from Mr. PERLMAN dated May 1, 1952, which is as follows:

"I have your letter of May 1st advising me that you desire to have the attendance of Messrs. J. FRANK STALEY, THOMAS McGOVERN, and PATRICK COONEY, of the Claims Division of the Department of Justice at an executive session May 5th, at the office of the Subcommittee in the George Washington Inn, New Jersey Avenue and C Street, S. E.

"I have discussed this matter with Mr. HOLMES BALDRIDGE, Assistant Attorney General in charge of the Claims Division, and am advised that he will arrange to have Messrs. McGOVERN and COONEY appear at the time and place designated, with the understanding that the Committee's questions will be restricted to inquiries as to whether Mr. NEWBOLD MORRIS or Mr. PEYTON FORD ever talked to any of them about the United Tankers and Newbold Morris cases, and if so, when and where. Mr. STALEY will be in court on Monday, May 5th, but will appear at your convenience thereafter."

It was further noted from an examination of the file that a Motion For An Order Of Discovery filed in the United States District Court, Eastern District of Virginia, sometime in June, 1952, by THOMAS F. McGOVERN wherein he sought the court to order to direct the production of the diary of NEWBOLD MORRIS for the period 1947 through 1949, as well as the diary of HOUSTON WASSON, relative to the Chinese, the tanker companies and the China International Foundation involved in the suit for the period 1947 through 1949. The file indicates that the libel for the forfeiture of the Tanker MEACHAM is still pending.

The other case in the Department in connection with the above matter is a criminal investigation concerning certain alleged violations by the subjects, North American Shipping and Trading Company; United Tanker Corporation; United States Petroleum Carriers, Incorporated; American Overseas Tanker Corporation; Simpson, Spence and Young. This case was initiated by a letter dated June 15, 1951, from Secretary of Commerce CHARLES SAWYER to the Attorney General, Department of Justice. In this letter to the Attorney General, Mr. SAWYER states as follows:

"On the recommendation of Admiral COCHRANE, the Maritime Administrator, I am transmitting herewith a series of reports prepared by the Maritime Administration with reference to possible violation of several criminal statutes of the United States and the possibility that certain of the transactions involve civil fraud on the United States. The transactions described relate to sales of vessels to various purchasers under the provisions of the Merchant Ship Sales Act of 1946.

"These reports are the result of an investigation made by the Maritime Administration commencing in late 1950, when circumstances aroused the suspicion of the officials of the Maritime Administration. Several attorneys and investigators of the Maritime Administration were assigned to the task and have worked upon it for a number of months. The aid of the General Accounting Office was requested, and employees of that office participated effectively in what became an exhaustive investigation.

"The reports are as follows:

"1. Inv. File 704 - The China International Foundation, Inc., United Tanker Corporation, and affiliated and subsidiary corporations - with a separate volume of exhibits.

"2. Inv. File 707 - United States Petroleum Carriers, Inc. with a separate volume of exhibits.

"3. Inv. File 708 - North American Shipping and Trading Co., Inc. - with attached exhibits.

"4. Inv. File 709 - American Overseas Tanker Corporation - National Tanker Corporation - with attached exhibits.

"All these cases involve the requirement imposed by the former Maritime Commission as a condition of sale, pursuant to the Merchant Ship Sales Act of 1946, U.S.C. Title 50 App. Sections 1735-1746, that the buyer be a citizen of the United States within the meaning of Section 2, Shipping Act, 1916, 46 U.S.C. Sections 801, 802, 803.

"It is my request that these reports be studied by your Department with a view of determining whether or not any of the following statutes, among others, have been violated by any of the persons or corporations mentioned therein:

"1. 18 U.S.C. Sec. 1001, relating to false representations in matters within the jurisdiction of Government agencies.

"2. Section 9, Shipping Act, 1916, 46 U.S.C. Sec. 808, prohibiting the transfer of any interest in an American vessel to aliens without the prior approval of the Maritime Commission or its successors.

"if the case of United States v. American Petroleum Institute is dismissed without prejudice, representatives of the Continental Oil Company will be given an opportunity to discuss the conduct involved with representatives of the Department of Justice before any further suits under the Sherman Antitrust Act are instituted against the Continental Oil Company.

"Sincerely yours,

"WM. AMORY UNDERHILL
Acting Assistant Attorney General"

any right arising through the default or failure of an adverse party, the clerk shall warn the dilatory party by mail that his claim will stand dismissed if he fails to comply with this rule, making a note in the docket of the mailing; and if the delinquency continues for 6 months the complaint, counter-claim, cross-claim or third party complaint of said party, as the case may be, shall stand dismissed without prejudice, whereupon the clerk shall make entry of that fact and serve notice thereof by mail upon every party not in default for failure to appear, of which mailing he shall make an entry.

"(b) Failure to Warn; Effect:

"A failure of the clerk to give the warning, as above provided, will not affect the running of the 6 months period, or otherwise relieve a party from operation of this rule."

On August 20, 1952, W. B. WATSON SNYDER advised the American Petroleum Institute Case involved violations of the SHERMAN, CLAYTON, and Interstate Commerce Commission Acts, and violations of all activities of the oil industry. He furnished the following four reasons which he stated was the concensus of opinion of the Staff of the Justice Department for the dismissal of the case.

1. The case had so many defendants it was unwieldy to present to the Court and, further, that experience with that type of case before the Court has been unsuccessful in the past;

2. The evidence collected, which was the basis for the claim filed, embodied the years 1940 and 1941. Therefore, a terrific expense and use of manpower would be necessary in order to bring the evidence up to date;

3. A spot check disclosed at least fifty percent of the witnesses had died or taken positions with the defendant companies;

4. A program was adopted in 1946, approved by the Attorney General, to substitute segment suits in lieu of the over-all big case activity. In addition, during 1949 segment cases had already been filed, and on one occasion a case actually won by the Government.

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SNYDER furnished the names of a few defendant oil companies involved in the American Petroleum Institute Case:

Continental Oil Company,
Headquarters - Houston, Texas,
JAMES J. COSGROVE, Chairman of the Board in 1950.

Gulf Oil Company,
Gulf Building,
Pittsburgh, Pennsylvania,
SIDNEY SWENSRUD, President; ARCHIE GRAY, Counsel.

Atlantic Refining Company,
260 South Broad Street,
Philadelphia, Pennsylvania,
ROBERT H. COLLEY, President; CHARLES I. THOMPSON, Counsel - With firm of Ballard, Spahr, Andrews and Ingersoll; 1035 Sand Title Building; Philadelphia, Pennsylvania.

Cities Service Company,
New York, New York,
W. ALTON JONES, President.

Consolidated Oil Corporation (later the Sinclair Oil Corporation),
630 Fifth Avenue,
New York City, New York,
HARRY F. SINCLAIR, President; PATRICK HURLEY, Attorney, in 1950.

Ohio Oil Company,
Findlay, Ohio.

The Phillips Petroleum Company,
Bartlesville, Oklahoma,
DON EMERY, General Counsel, or RAYBURN C. FOSTER, Bartlesville, Oklahoma.

Standard Oil of Indiana,
910 South Michigan Avenue,
Chicago, Illinois,
ROBERT E. WILSON, President; HAMMOND CHAFFETZ, Counsel.

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Standard Oil COMPANY of New Jersey,
30 Rockefeller Plaza,
New York, New York,
ROBERT HASLAM, Executive. (SNYDER pointed out that this individual was for a short time President of the Service Oil Company, which has been discussed in a previous report.)

Sun Oil Company,
ROBERT DUNLOP, President,
1608 Walnut Street,
Philadelphia, Pennsylvania.

The Texas Company,
HARRY T. KLINE,
135 East Forty-Second Street,
New York City, New York.

Mr. SNYDER advised he personally had examined the Court Docket in connection with the American Petroleum Institute Case, and observed the entries as previously described. He attempted to ascertain why the entries had been so made and why the Department of Justice had not been notified of the Court's intention to dismiss, with negative results.

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On August 20, 1952, Confidential Informant T-1, of known reliability, made available the following copy of an office memorandum dated August 16, 1950, concerning the American Petroleum Institute suit, from HOLMES BALDRIDGE to Assistant Attorney General BERGSON:

"Attached is a suggested rough draft of a press release announcing dismissal of the A.P.I. suit.

"I think the suit should be dismissed because it is impracticable to try it due to the number of defendants involved, the nature of the practices peculiar to the oil industry which raise problems under the antitrust laws, and the sheer mechanics of courtroom procedure. It would take years to complete the trial. The record would be so complex and bulky that even the trial court would necessarily become confused.

"I think we should pursue vigorously our policy of filing segment suits on a regional or business-practice basis. Such suits will test all problems raised by the A.P.I. suit and they will be manageable from a trial point of view. We already have on file the West Coast suit and exclusive dealer suits against Standard Oil of California, Richfield Oil Corporation, and the Sun Oil Company. We have in process of investigation suits involving the misuse of pipelines (both crude oil and refined petroleum products), monopolization of modern processes of refining through patent pools, and cases involving price-fixing agreements on the purchase and sale of crude oil and refined petroleum products. These suits need additional staff.

"The timing of the dismissal is important. As to this I make no recommendation since it is a policy matter."

T-1 also made available the following copies of correspondence dated October 27, 1950, and December 6, 1950, between the Continental Oil Company, Houston, Texas, and the Department of Justice:

"CONTINENTAL OIL COMPANY

"P.O. Box 2197
Houston 1, Texas
October 27, 1950

"The Honorable James Howard McGrath
Attorney General, United States
Washington, D. C.

"Dear General McGrath:

"As suggested at the time of our recent meeting in Washington, this letter will confirm the understanding which Dan Moody and I had with you that if and when the case of United States v. A.P.I., et al, Civil Docket No. 8524, now pending in the United States District Court for the District of Columbia and commonly referred to as the "Mother Hubbard Case", should be dismissed, and if any further anti-trust action against Continental Oil Company under Federal law is contemplated, then before suit is instituted, an opportunity will be given to representatives of the Company to discuss with members of the Department of Justice the situation and circumstances which in its opinion might be the basis for such an action.

"Governor Moody and I both appreciate the courtesy which you extended in giving us the appointment to discuss the present status of the anti-trust litigation.

"Sincerely yours,

"(Sgd) James J. Cosgrove
James J. Cosgrove
Chairman of the Board
of Directors"

"December 6, 1950

"Mr. James J. Cosgrove
Chairman of the Board of Directors
Continental Oil Company
P. O. Box 2197
Houston 1, Texas

"Dear Mr. Cosgrove:

"This will acknowledge your letter of October 27, addressed to Attorney General James Howard McGrath. Your letter confirms an understanding with the Attorney General that

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AMERICAN PETROLEUM INSTITUTE

It is to be noted that during an interview in connection with the Standard Oil Company (Indiana) matter, GEORGE B. HADDOCK, Attorney, Department of Justice, advised that when the Department of Justice posted a notice of dismissal with the United States District Court for the District of Columbia against the defendants in the American Petroleum Institute case, it was ascertained the Court had previously dismissed the case for want of prosecution.

A review of the Docket, Civil Division, United States District Court for the District of Columbia, concerning civil suit filed by the Justice Department against the American Petroleum Institute (368 oil companies), Docket Number 8524, Volume 18, reflects that on January 10, 1951, the Clerk of the Court made entry dated October 21, 1948, as follows: "Dismissed under Rule 13."

ROBERT STEARNS, Deputy Clerk, United States District Court, advised the above entry was made by the Clerk in accordance with regular procedure, and that there was no particular significance as to when the entry was made, as far as the case was concerned. STEARNS merely stated, "It was just not recorded" by the Clerk until January 10, 1951. He pointed out an additional notation on the Docket dated June 6, 1951, reflecting a notice of dismissal by the Department of Justice. He stated this entry also had no bearing on the case inasmuch as stated above, it was previously dismissed by the Court on October 21, 1948. Mr. STEARNS was unable to furnish a reason as to why the Department of Justice made the above entry.

Review of the Rules of Federal Procedure for the District Court of the United States for the District of Columbia, under Rule 13, reflected the following:

"RULE 13:

"(a) Clerk to Warn Dilatory Party; Dismissal Without Prejudice; Notice of:

"If a party seeking affirmative relief fails for 5 months, from time action may be taken, to comply with any law, rule or order requisite to the prosecution of his claim, or to avail of